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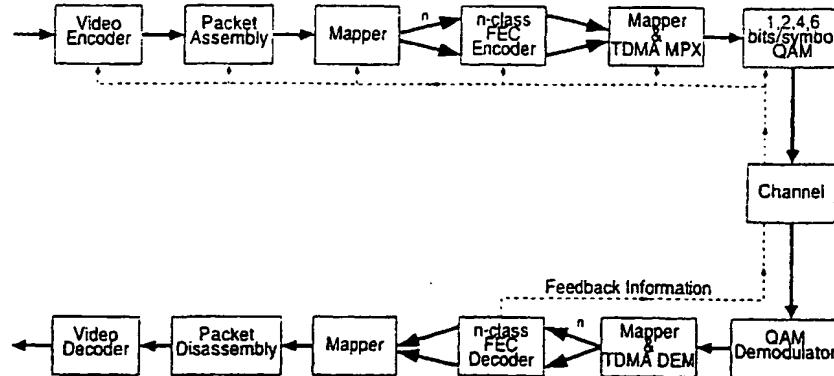
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(54) Title: ADAPTIVE OFDM TRANSMITTER



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(57) Abstract: A range of Adaptive Orthogonal Frequency Division Multiplex (AOFDM) video systems are proposed for interactive communications over wireless channels. The proposed constant target bitrate subband adaptive OFDM (CTBR-AOFDM) modems can provide a lower BER, than a corresponding conventional OFDM modem. The slightly more complex switched time-variant target bitrate adaptive OFDM TVTBR-AOFDM modems can provide a balanced video quality performance, across a wider range of channel SNRs. The main advantage of the proposed technique is that irrespective of the prevailing channel conditions, the transceiver achieves always the best possible source-signal representation quality - such as video or audio quality - by automatically adjusting the achievable bitrate and the associated multimedia source-signal representation quality in order to match the channel quality experienced. This is achieved on a near-instantaneous basis under given propagation conditions in order to cater for the effects of path-loss, fast-fading, slow-fading, dispersion, etc. Furthermore, when the mobile is roaming in a hostile outdoor propagation environment, typically low-order, low-rate modem modes are invoked, while in benign indoor environments predominantly the high-rate, high source-signal representation quality modes are employed.



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

# Title of the Invention

## ADAPTATIVE OFDM TRANSMITTER

### 1 Background of the Invention

4 The invention relates to adaptive Orthogonal Frequency Division Multiplexing (OFDM) based transmission of multimedia signals, such as interactive video or audio, speech etc.

6 In contrast to the *burst-by-burst reconfigurable wideband* multimedia transceivers described in this document, the term *statically reconfigurable* found in this context in the literature refers to multimedia transceivers that cannot be near-instantaneously reconfigured. More explicitly, the previously proposed *statically reconfigurable* video transceivers were reconfigured on a long-term basis under the base station's control, invoking for example in the central cell region - where benign channel conditions prevail - a less robust, but high-throughput modulation mode, such as 4 bit/symbol Quadrature Amplitude Modulation (16QAM), which was capable of transmitting a quadruple number of bits and hence ensured a better video quality. By contrast, a robust, but low-throughput modulation mode, such as 1 bit/symbol Binary Phase Shift Keying (BPSK) can be employed near the edge of the propagation cell, where hostile propagation conditions prevail. This prevented a premature hand-over at the cost of a reduced video quality.

17 The philosophy of the fixed, but programmable-rate proprietary video codecs and statically reconfigurable multi-mode video transceivers presented by Streit *et al.* for example in References [1] was that irrespective of the video motion activity experienced, the specially designed video codecs generated a constant number of bits per video frame. For example, for videophony over the second-generation Global System of Mobile Communications known as the GSM system at 13 kbps and assuming a video scanning rate of 22 frames/s, 1300 bits per video frame have to be generated. Specifically, two families of video codecs were designed, one refraining from using error-sensitive run-length coding techniques and exhibiting the highest possible error resilience and another, aiming for the highest possible compression ratio. This fixed-rate approach had the advantage of requiring no adaptive feedback controlled bitrate fluctuation smoothing buffering and hence exhibited no objectionable video latency or delay. Furthermore, these video codecs were amenable to video telephony over fixed-rate second-generation mobile radio systems, such as the GSM.

29 The fixed bitrate of the above proprietary video codecs is in contrast to existing standard video codecs, such as the Motion Pictures Expert Group codecs known as MPEG1 and MPEG2 or the ITU's H.263

31 codec, where the time-variant video motion activity and the variable-length coding techniques employed  
32 result in a time-variant bitrate fluctuation and a near-constant perceptual video quality. This time-variant  
33 bitrate fluctuation can be mitigated by employing adaptive feed-back controlled buffering, which po-  
34 tentially increases the latency or delay of the codec and hence it is often objectionable for example in  
35 interactive videophony. The schemes presented by Streit *et al.* in References [1] result in slightly variable  
36 video quality at a constant bitrate, while refraining from employing buffering, which again, would result  
37 in latency in interactive videophony. A range of techniques, which can be invoked, in order to render the  
38 family of variable-length coded, highly bandwidth-efficient, but potentially error-sensitive class of stan-  
39 dard video codecs, such as the H.263 arrangement, amenable to error-resilient, low-latency interactive  
40 wireless multimode videophony was summarised in [2]. The adaptive video rate control and packetisa-  
41 tion algorithm of [2] generates the required number of bits for the burst-by-burst adaptive transceiver,  
42 depending on the capacity of the current packet, as determined by the current modem mode. Fur-  
43 ther error-resilient H.263-based schemes were contrived for example by Färber, Steinbach and Girod  
44 at Erlangen University [3], while Sadka, Eryurtlu and Kondoz [4] from Surrey University proposed a  
45 range of improvements to the H.263 scheme. Following the above portrayal of the prior art in both video  
46 compression and statically reconfigurable narrowband modulation, let us now consider the philosophy of  
47 wideband burst-by-burst adaptive quadrature amplitude modulation (AQAM) in more depth.

48 In burst-by-burst adaptive modulation a higher-order modulation scheme is invoked, when the channel  
49 is favourable, in order to increase the system's bits per symbol capacity and conversely, a more robust  
50 lower order modulation scheme is employed, when the channel exhibits inferior channel quality, in order  
51 to improve the mean Bit Error Ratio (BER) performance. A practical scenario, where adaptive modula-  
52 tion can be applied is, when a reliable, low-delay feedback path is created between the transmitter and  
53 receiver, for example by superimposing the estimated channel quality perceived by the receiver on the  
54 reverse-direction messages of a duplex interactive channel. The transmitter then adjusts its modem mode  
55 according to this perceived channel quality.

56 Recent developments in adaptive modulation over a narrow-band channel environment have been pi-  
57 oneered by Webb and Steele [5], where the modulation adaptation was utilized in a Digital European  
58 Cordless Telephone - like (DECT) system. The concept of variable rate adaptive modulation was also  
59 advanced by Sampei *et al* [6], showing promising advantages, when compared to fixed modulation in  
60 terms of spectral efficiency, BER performance and robustness against channel delay spread. In another  
61 paper, the numerical upper bound performance of adaptive modulation in a slow Rayleigh flat-fading  
62 channel was evaluated by Torrance *et al*[7] and subsequently, the optimization of the switching threshold  
63 levels using Powell minimization was used in order to achieve a targeted performance [8, 9]. In addition,

64 adaptive modulation was also studied in conjunction with channel coding and power control techniques  
65 by Matsuoka *et al* [6] as well as Goldsmith *et al*.[10].  
66 In the narrow-band channel environment, the quality of the channel was determined by the short term  
67 Signal to Noise Ratio (SNR) of the received burst, which was then used as a criterion in order to choose  
68 the appropriate modulation mode for the transmitter, based on a list of switching threshold levels,  $l_n$  [5, 9].  
69 However, in a wideband environment, this criterion is not an accurate measure for judging the quality of  
70 the channel, where the existence of multi-path components produces not only power attenuation of the  
71 transmission burst, but also intersymbol interference. Subsequently, a new criterion has to be defined to  
72 estimate the wideband channel quality in order to choose the appropriate modulation scheme.

## 73 2 Summary of the Invention

74 Particular and preferred aspects of the invention are set out in the accompanying independent and depen-  
75 dent claims. Features of the dependent claims may be combined with those of the independent claims as  
76 appropriate and used in combinations other than those explicitly set out in the claims.

77 The performance benefits of OFDM symbol-by-symbol adaptive modulation are described, employing a  
78 higher-order modulation mode on those OFDM subcarriers, where the frequency-domain channel trans-  
79 fer function is favourable, ie does not exhibit a high attenuation, or at subchannel frequencies, where  
80 the signal is unimpaired by co-channel interferers. This procedure is employed, in order to increase the  
81 system's bits per symbol (BPS) capacity and conversely, invoking a more robust, lower order modulation  
82 mode, when the channel exhibits inferior channel quality.

83 Two specific embodiments are described, a fixed bitrate and a time-variant bitrate system. The fixed-rate  
84 system allocates a fixed number of bits to each OFDM symbol, mapping the bits on to the highest-quality  
85 subcarriers. Hence this system optimises the bit allocation across the frequency domain, but ignores the  
86 time-variant nature of the channel quality. Therefore the associated bit error rate (BER) will be time-  
87 variant. By contrast, the time-variant bitrate system adjusts the number of bits mapped to the OFDM  
88 symbol on a time-variant basis, depending on the instantaneous channel quality. Hence it endeavours  
89 to optimise the bit allocation versus both time and frequency. This bit allocation policy allows us to  
90 maintain a near-constant BER versus time.

91 It is shown that due to the described adaptive modem mode switching regime a seamless multimedia  
92 source-signal representation quality - such as video or audio quality - versus channel quality relationship  
93 can be established, resulting in a near-unimpaired multimedia source-signal quality right across the oper-  
94 ating channel Signal-to-Noise Ratio (SNR) range. The main advantage of the described technique is - in

particular in the context of the time-variant bitrate embodiment - that irrespective of the prevailing channel conditions, the transceiver achieves always the best possible source-signal representation quality - such as video or audio quality - by automatically adjusting the achievable bitrate and the associated multimedia source-signal representation quality in order to match the channel quality experienced. This can be achieved on a near-instantaneous or OFDM symbol-by-symbol adaptive basis under given propagation conditions in order to cater for the effects of path-loss, fast-fading, slow-fading, dispersion, co-channel interference, etc. Furthermore, when a mobile is roaming in a hostile out-doors - or even hilly terrain - propagation environment, typically low-order, low-rate modem modes are invoked, while in benign indoor environments predominantly the high-rate, high source-signal representation quality modes are employed.

### 3 Brief Description of the Drawings

For a better understanding of the invention and to show how the same may be carried into effect reference is now made by way of example to the accompanying drawings, in which:

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127	12	Illustration of mode switching for the switched subband adaptive modem. The figure shows the estimate of the bit error ratio for the four possible modes. The large square and the dotted line indicate the modem mode chosen for each time interval by the mode switching algorithm. At the bottom of the graph the bar chart specifies the bitrate of the switched subband adaptive modem on the right-hand axis, versus time. Using the channel model of Figure 5 at $F_D = 7.41 \times 10^{-2}$ . . . . .	36

148 13 The micro-adaptive nature of the time-varient target bitrate subband-adaptive (TVTBR-  
149 AOFDM) modem. The top graph is a contour plot of the channel SNR for all 512 sub-  
150 carriers versus time. The bottom graph shows the modulation mode chosen for all 16  
151 subbands for the same period of time. Each subband is comprised of 32 subcarriers.  
152 The TVTBR AOFDM modem switches between target bitrates of 2, 3.4, 7 and 10Mbps,  
153 while attempting to maintain an estimated BER of 0.1% before channel coding. Average  
154 Channel SNR is 16dB over the channel of Figure 5 at  $F_D = 7.41 \times 10^{-2}$ ; (a) - top graph  
155 (b) bottom graph . . . . . 37

156 14 FER or video packet loss ratio versus channel SNR for the TVTBR-AOFDM modem for  
157 a variety of BER switching thresholds. The switched modem uses four modes, with target  
158 bitrates of 1.8, 3.4, 7 and 10Mbps. The un-switched 1.8 and 10Mbps results are also  
159 shown on the graph as solid markers. The channel model of Figure 5 at  $F_D = 7.41 \times 10^{-2}$ . 38

160 15 Transmitted bitrate of the switched TVTBR-AOFDM modem, for a variety of BER  
161 switching thresholds. The switched modem uses four modes, having target bitrates of  
162 1.8, 3.4, 7 and 10Mbps, over the channel model of Figure 5 at  $F_D = 7.41 \times 10^{-2}$ . . . . . 39

163 16 Effective throughput bitrate of the switched TVTBR-AOFDM modem for a variety of  
164 BER switching thresholds. The switched modem uses four modes, with target bitrates of  
165 1.8, 3.4, 7 and 10Mbps. The channel model of Figure 5 is used at  $F_D = 7.41 \times 10^{-2}$ . . . . . 40

166 17 Video quality and packet loss ratio performance versus video frame index (time) com-  
167 parision between switched TVTBR-AOFDM transceivers with different BER switch-  
168 ing thresholds, at an average of 16dB SNR, using the channel model of Figure 5 at  
169  $F_D = 7.41 \times 10^{-2}$ . (a) - top graph; (b) - middle graph; (c) - bottom graph . . . . . 41

170 18 Average PSNR versus channel SNR performance for switched- and un-switched subband  
171 adaptive modems. Figure (a) compares the four un-switched CTBR subband adaptive  
172 modems with switched TVTBR subband adaptive modems (using the same four modem  
173 modes) for switching thresholds of BER=3, 5 and 10%. Figure (b) compares the switched  
174 TVTBR AOFDM modems for switching thresholds of BER=0.1, 1, 2, 3, 5 and 10%. . . . . 42

## 175 4 Detailed Description

### 176 4.1 State-of-the-art

177 Burst-by-burst adaptive quadrature amplitude modulation (AQAM) was contrived by Steele and Webb [5].  
178 in order for the transceiver to cope with the time-variant channel quality of narrowband fading channels.  
179 Further related research was conducted at the University of Osaka by Sampei and his colleagues, investi-  
180 gating variable coding rate concatenated coded schemes [6], at the University of Stanford by Goldsmith  
181 and her team, studying the effects of variable-rate, variable-power arrangements [10] and at Southamp-  
182 ton University in the UK, investigating a variety of practical aspects of AQAM [11, 12]. The channel's  
183 quality is estimated on a burst-by-burst basis and the most appropriate modulation mode is selected in or-  
184 der to maintain the required target bit error rate (BER) performance, whilst maximizing the system's Bit  
185 Per Symbol (BPS) throughput. Using this reconfiguration regime the distribution of channel errors be-  
186 comes typically less bursty, than in conjunction with non-adaptive modems, which potentially increases  
187 the channel coding gains. Furthermore, the soft-decision channel codec metrics can be also invoked in  
188 estimating the instantaneous channel quality, irrespective of the type of channel impairments.

189 A range of coded AQAM schemes were analysed by Matsuoka *et al* [6], Lau *et al* [13] and Gold-  
190 smith *et al* [10]. For data transmission systems, which do not necessarily require a low transmission  
191 delay, variable-throughput adaptive schemes can be devised, which operate efficiently in conjunction  
192 with powerful error correction codecs, such as long block length turbo codes. However, the acceptable  
193 turbo interleaving delay is rather low in the context of low-delay interactive speech. Video communica-  
194 tions systems typically require a higher bitrate than speech systems and hence they can afford a higher  
195 interleaving delay.

196 The above principles - which were typically investigated in the context of narrowband modems - were  
197 further advanced in conjunction with wideband modems, employing powerful block turbo coded wide-  
198 band Decision Feedback Equaliser (DFE) assisted AQAM transceivers [14]. A neural-network Radial  
199 Basis Function (RBF) DFE based AQAM modem design was proposed in [15], where the RBF DFE  
200 provided the channel quality estimates for the modem mode switching regime. This modem was capa-  
201 ble of removing the residual BER of conventional DFEs, when linearly non-separable received phasor  
202 constellations were encountered.

203 The above burst-by-burst adaptive principles can also be extended to Adaptive Orthogonal Frequency  
204 Division Multiplexing (AOFDM) schemes [16] and to adaptive joint-detection based Code Division  
205 Multiple Access (JD-ACDMA) arrangements [17]. The associated AQAM principles were invoked in  
206 the context of parallel AOFDM modems also by Czylwik *et al* [18], Fischer [19] and Chow *et al* [20].

207 Adaptive subcarrier selection has been advocated also by Rohling et al [21] in order to achieve BER per-  
208 formance improvements. Due to lack of space without completeness, further significant advances over  
209 benign, slowly varying dispersive Gaussian fixed links - rather than over hostile wireless links - are due  
210 to Chow, Cioffi and Bingham [20] from the USA, rendering OFDM the dominant solution for asymmet-  
211 ric digital subscriber loop (ADSL) applications, potentially up to bitrates of 54 Mbps. In Europe OFDM  
212 has been favoured for both Digital Audio Broadcasting (DAB) and Digital Video Broadcasting [22, 23]  
213 (DVB) as well as for high-rate Wireless Asynchronous Transfer Mode (WATM) systems and for the  
214 new HIPERLAN standard due to its ability to combat the effects of highly dispersive channels. The  
215 idea of 'water-filling' - as allocating different modem modes to different subcarriers was referred to -  
216 was proposed for OFDM by Kalet [24] and later further advanced by Chow et al [20]. This approached  
217 was rendered later time-variant for duplex wireless links for example in [16]. Lastly, the co-channel  
218 interference sensitivity of OFDM can be mitigated with the aid of adaptive beam-forming in multi-user  
219 scenarios.

220 Our main contribution is that upon invoking the technique advocated - irrespective of the channel con-  
221 ditions experienced - the transceiver achieves always the best possible video quality by automatically  
222 adjusting the achievable bitrate and the associated video quality in order to match the channel quality ex-  
223 perienced. This is achieved on a near-instantaneous basis under given propagation conditions in order to  
224 cater for the effects of path-loss, fast-fading, slow-fading, dispersion, co-channel interference, etc. Fur-  
225 thermore, when the mobile is roaming in a hostile outdoor propagation environment, typically low-order,  
226 low-rate modem modes are invoked, while in benign indoor environments predominantly the high-rate,  
227 high source-signal representation quality modes are employed.

## 228 4.2 AOFDM Signalling Scenarios

229 AOFDM transmission parameter adaptation is an action of the transmitter in response to time-varying  
230 channel conditions. It is only suitable for duplex communication between two stations, since the trans-  
231 mission parameter adaptation relies on some form of channel estimation and signalling. In order to  
232 efficiently react to the changes in channel quality, the following steps have to be taken:

- 233 • *Channel quality estimation:* In order to appropriately select the transmission parameters to be  
234 employed for the next transmission, a reliable prediction of the channel quality during the next  
235 active transmit timeslot is necessary.
- 236 • *Choice of the appropriate parameters for the next transmission:* Based on the prediction of the  
237 expected channel conditions during the next timeslot, the transmitter has to select the appropriate

238 modulation schemes for the subcarriers.

239 • *Signalling or blind detection of the employed parameters:* The receiver has to be informed, as  
240 to which set of demodulator parameters to employ for the received packet. This information can  
241 either be conveyed within the packet, at the cost of loss of useful data bandwidth, or the receiver  
242 can attempt to estimate the parameters employed at the transmitter by means of blind detection  
243 mechanisms.

244 Depending on the channel characteristics, these operations can be performed at either of the duplex  
245 stations, as shown in Figures 1(a), 1(b) and 1(c). If the channel is reciprocal, then the channel quality  
246 estimation for each link can be extracted from the reverse link, and we refer to this regime as open-  
247 loop adaptation. In this case, the transmitter needs to communicate the transmission parameter set to  
248 the receiver (Figure 1(a)), or the receiver can attempt blind detection of the transmission parameters  
249 employed (Figure 1(c)).

250 If the channel is not reciprocal, then the channel quality estimation has to be performed at the receiver  
251 of the link. In this case, the channel quality measure or the set of requested transmission parameters is  
252 communicated to the transmitter in the reverse link (Figure 1(b)). This mode is referred to as closed-loop  
253 adaptation.

#### 254 4.3 Video Transceiver

255 The schematic of the whole system is depicted in Figure 2. The multimedia source signal generated  
256 by the video encoder of Figure 2 is assembled into transmission packets constituting an OFDM symbol  
257 and the bits may be additionally mapped by the Mapper of Figure 2 to  $n$  number of different Forward  
258 Error Correction (FEC) protection classes. These bits are then conveyed to the optional Time Division  
259 Multiplex (TDMA) scheme of Figure 2, before they are assigned to the OFDM subcarriers of the adaptive  
260 QAM modem seen in Figure 2.

261 As a particular embodiment of the proposed system concept, in this study we investigate the transmission  
262 of 704x576 pixel Four-times Common Intermediate Format (4CIF) high-resolution video sequences at 30  
263 frames/s using a subband-adaptive turbo-coded OFDM transceiver. The transceiver can modulate 1, 2 or  
264 4 bits onto each OFDM sub-carrier, or simply disable transmissions for sub-carriers which exhibit a high  
265 attenuation, or phase distortion due to channel effects. We note, however that the proposed principles are  
266 applicable to arbitrary multimedia source signals, bit rates, source signal representation quality, or even  
267 different channel codecs.

268 The main advantage of the proposed technique is that irrespective of the prevailing channel conditions,

269 the transceiver achieves always the best possible source-signal representation quality - such as video or  
270 audio quality - by automatically adjusting the achievable bitrate and the associated multimedia source-  
271 signal representation quality in order to match the channel quality experienced. This is achieved on a  
272 near-instantaneous basis under given propagation conditions in order to cater for the effects of path-  
273 loss, fast-fading, slow-fading, dispersion, co-channel interference, etc. Furthermore, when the mobile  
274 is roaming in a hostile out-doors - or even hilly terrain - propagation environment, typically low-order,  
275 low-rate modem modes are invoked, while in benign indoor environments predominantly the high-rate,  
276 high source-signal representation quality modes are employed.

277 The H.263 video codec exhibits an impressive compression ratio, although this is achieved at the cost of a  
278 high vulnerability to transmission errors, since a run-length coded bitstream is rendered undecodable by  
279 a single bit error. In order to mitigate this problem, when the channel codec protecting the video stream  
280 is overwhelmed by the transmission errors, we refrain from decoding the corrupted video packet, in order  
281 to prevent error propagation through the reconstructed video frame buffer [2]. We found that it was more  
282 beneficial in video quality terms, if these corrupted video packets were dropped and the reconstructed  
283 frame buffer was not updated, until the next video packet replenishing the specific video frame area  
284 was received. The associated video performance degradation was found perceptually unobjectionable  
285 for packet dropping- or transmission frame error rates (FER) below about 5%. These packet dropping  
286 events were signalled to the remote video decoder by superimposing a strongly protected one-bit packet  
287 acknowledgement flag on the reverse-direction packet, as outlined in [2]. Turbo error correction codes  
288 were used. The associated parameters will be discussed in more depth during our further discourse.

#### 289 4.4 Comparing subband-adaptive to fixed modulation mode transceivers

290 In order to show the benefits of the proposed subband-adaptive OFDM transceiver, we compare its per-  
291 formance to that of a fixed modulation mode transceiver under identical propagation conditions, while  
292 having the same transmission bitrate. The subband-adaptive modem is capable of achieving a low bit  
293 error ratio, since it can disable transmissions over low quality sub-carriers and compensate for the lost  
294 throughput by invoking a higher modulation mode, than that of the fixed-mode transceiver over the high-  
295 quality sub-carriers.

296 Table I shows the system parameters for the fixed BPSK and QPSK transceivers, as well as for the  
297 corresponding AOFDM transceivers. The system employs constraint length 3, 1/2-rate turbo coding,  
298 using octal generator polynomials of 5 and 7, and random interleavers. Hence the unprotected bitrate is  
299 about half the channel coded bitrate. The protected to unprotected bitrate ratio is not exactly half, since  
300 two tailing bits are required to reset the convolutional encoders' memory to their default state in each

	BPSK mode	QPSK mode
Packet rate	4687.5 Packets/s	
FFT length	512	
OFDM Symbols/Packet	3	
OFDM Symbol Duration	2.6667 $\mu$ s	
OFDM Time Frame	80 Timeslots = 213 $\mu$ s	
Normalised Doppler frequency, $f'_d$	$1.235 \times 10^{-4}$	
OFDM symbol normalised Doppler frequency, $F_D$	$7.41 \times 10^{-2}$	
FEC Coded Bits/Packet	1536	3072
FEC-coded video bitrate	7.2Mbps	14.4Mbps
Unprotected Bits/Packet	766	1534
Unprotected bitrate	3.6Mbps	7.2Mbps
Error detection CRC (bits)	16	16
Feedback error flag bits	9	9
Packet header Bits/Packet	11	12
Effective video Bits/Packet	730	1497
Effective video bitrate	3.4Mbps	7.0Mbps

Table 1: System parameters for the fixed QPSK and BPSK transceivers, as well as for the corresponding subband-adaptive OFDM (AOFDM) transceivers for Wireless Local Area Networks (WLANs).

301 transmission burst. In both modes a 16-bit CRC is used for error detection, and 9 bits are used to encode  
 302 by simple repetition coding the reverse link feedback acknowledgement information. The feedback flag  
 303 decoding ensues using majority logic decoding. The packetisation requires a small amount of header  
 304 information added to each transmitted packet, which is 11 and 12 bits/packet for BPSK and QPSK,  
 305 respectively. The effective video bitrates for the BPSK and QPSK modes are then 3.4 and 7.0 Mbps.  
 306 The fixed mode BPSK and QPSK transceivers are limited to one and two bits per symbol, respectively.  
 307 However, the AOFDM transceivers operate at the same bitrate, as their corresponding fixed modem  
 308 mode counterparts, although they can vary their modulation mode on a sub-carrier by sub-carrier basis  
 309 between 0, 1, 2 and 4 bits per symbol. Zero bits per symbol implies that transmissions are disabled for  
 310 the sub-carrier concerned.  
 311 The "micro-adaptive" nature of the subband-adaptive modem is characterised by Figure 3, portraying

312 at the top a contour plot of the channel SNR for each subcarrier versus time. At the centre and bottom  
313 of the figure the modulation mode chosen for each 32-subcarrier subband is shown versus time for the  
314 3.4 and 7.0 Mbps subband-adaptive modems, respectively. The channel SNR is also shown in a three-  
315 dimensional form in Figure 4, which maybe more convenient to visualise. It can be seen that when the  
316 channel is of high quality – like for example at about frame 1080 – the subband-adaptive modem used  
317 the same modulation mode, as the equivalent fixed rate modem in all subcarriers. When the channel is  
318 hostile – like around frame 1060 – the subband-adaptive modem used a lower-order modulation mode  
319 in some subbands, than the equivalent fixed mode, or in extreme cases disabled transmission for that  
320 subband. In order to compensate for the loss of throughput in this subband a higher-order modulation  
321 mode was used in the higher quality subbands.

322 One video packet is transmitted per OFDM symbol, therefore the video packet loss ratio is the same, as  
323 the OFDM transmission frame error ratio. The video packet loss ratio is plotted versus the channel SNR  
324 in Figure 6. It is shown in the graph that the subband-adaptive transceivers – or synonymously termed  
325 as microscopic-adaptive ( $\mu$ AOFDM), in contrast to OFDM symbol-by-symbol adaptive transceivers –  
326 have a lower packet loss ratio at the same SNR compared to the fixed modulation mode transceiver.  
327 Note in Figure 6 that the subband-adaptive transceivers can operate at lower channel SNRs, than the  
328 fixed modem mode transceivers, while maintaining the same required video packet loss ratio. Again, the  
329 figure labels the subband-adaptive transceivers as  $\mu$ AOFDM, implying that the adaption is not noticeable  
330 from the upper layers of the system. A macro-adaption could be applied in addition to the microscopic  
331 adaption by switching between different target bitrates, as the longer-term channel quality improves and  
332 degrades. This issue is the subject of Section 4.6.

333 Having shown, how the subband-adaptive transceiver achieved a reduced video packet loss, in compar-  
334 ison to fixed modulation mode transceivers under identical channel conditions, we now compare the  
335 effective throughput bitrate of the fixed and adaptive OFDM transceivers in Figure 7. The figure shows  
336 that when the channel quality is high, the throughput bitrate of the fixed and adaptive transceivers are  
337 identical. However, as the channel degrades, the loss of packets results in a lower throughput bitrate. The  
338 lower packet loss ratio of the subband-adaptive transceiver results in a higher throughput bitrate than that  
339 of the fixed modulation mode transceiver.

340 The throughput bitrate performance results translate to the decoded video quality performance results  
341 evaluated in terms of PSNR in Figure 8. Again, for high channel SNRs, the performance of the fixed  
342 and adaptive OFDM transceivers is identical. However, as the channel quality degrades, the video qual-  
343 ity of the subband-adaptive transceiver degrades less dramatically, than that of the corresponding fixed  
344 modulation mode transceiver.

#### 115 4.5 Comparing subband-adaptive transceivers having different target bitrates

116 As mentioned before, the subband-adaptive modems employ different modulation modes for different  
117 subcarriers in order to meet the target bitrate requirement at the lowest possible channel SNR. This is  
118 achieved by using a more robust modulation mode or eventually by disabling transmissions over subcar-  
119 riers having a low channel quality. By contrast, the adaptive system can invoke less robust, but higher  
120 throughput modulation modes over subcarriers exhibiting a high channel quality. In the examples we  
121 have previously considered we chose the AOFDM target bitrate to be identical to that of a fixed mod-  
122 ulation mode transceiver. In this section we comparatively study the performance of various  $\mu$ AOFDM  
123 systems having different target bitrates.

124 The previously described  $\mu$ AOFDM transceiver of Table 1 exhibited a FEC-coded bitrate of 7.2Mbps,  
125 which was also equivalent to that of a fixed BPSK transceiver and provided an effective video bitrate  
126 of 3.4Mbps. If the video target bitrate is lower than 3.4Mbps, then the system can disable transmission  
127 in more of the subcarriers, where the channel quality is low. Such a transceiver would have a lower  
128 bit error rate, than the previous BPSK-equivalent  $\mu$ AOFDM transceiver, and therefore could be used at  
129 lower average channel SNRs, while maintaining the same bit error ratio target. By contrast, as the target  
130 bitrate is increased the system has to employ higher-order modulation modes in more subcarriers, at the  
131 cost of an increased bit-error ratio. Therefore high target bitrate  $\mu$ AOFDM transceivers can only perform  
132 within the required bit error ratio constraints at high channel SNRs, while low target bitrate  $\mu$ AOFDM  
133 systems can operate at low channel SNRs without inflicting excessive BERs. Therefore a system, which  
134 can adjust its target bitrate, as the channel SNR changes, would operate over a wide range of channel  
135 SNRs, providing the maximum possible throughput bitrate, while maintaining the required bit error ratio.  
136 Hence below we provide a performance comparison of various  $\mu$ AOFDM transceivers having four dif-  
137 ferent target bitrates, of which two are equivalent to that of the BPSK and QPSK fixed modulation mode  
138 transceivers of Table 1. The system parameters for all four different bitrate modes are summarised in  
139 Table 2. The modes having effective video bitrates of 3.4 and 7.0Mbps are equivalent to the bitrates of a  
140 fixed BPSK and QPSK mode transceiver, respectively.

141 Figure 9 shows the FER or video packet loss ratio (PLR) performance versus channel SNR for the four  
142 different target bitrates of Table 2. The results demonstrate – as expected – that the higher target bitrate  
143 modes require higher channel SNRs in order to operate within given PLR constraints. For example,  
144 the mode having an effective video bitrate of 9.8Mbps can only operate for channel SNRs in excess of  
145 19dB under the constraint of a maximum PLR of 5%. However, the mode having an effective video  
146 bitrate of 3.4Mbps can operate at channel SNRs of 11dB and above whilst maintaining the same 5%

Packet rate	4687.5 Packets/s			
FFT length	512			
OFDM Symbols/Packet	3			
OFDM Symbol Duration	$2.6667\mu\text{s}$			
OFDM Time Frame	80 Timeslots = $213\mu\text{s}$			
Normalised Doppler frequency, $f'_d$	$1.235 \times 10^{-4}$			
OFDM symbol normalised Doppler frequency, $F_D$	$7.41 \times 10^{-2}$			
FEC Coded Bits/Packet	858	1536	3072	4272
FEC-coded video bitrate	4.0Mbps	7.2Mbps	14.4Mbps	20.0Mbps
No. of Unprotected Bits/Packet	427	766	1534	2134
Unprotected bitrate	2.0Mbps	3.6Mbps	7.2Mbps	10.0Mbps
No. of Error detection CRC (bits)	16	16	16	16
No. of Feedback error flag bits	9	9	9	9
No. of Packet header Bits/Packet	10	11	12	13
Effective video Bits/Packet	392	730	1497	2096
Effective video bitrate	1.8Mbps	3.4Mbps	7.0Mbps	9.8Mbps
Equivalent Modulation mode		BPSK	QPSK	
Minimum channel SNR for 5% PLR (dB)	8.8	11.0	16.1	19.2
Minimum channel SNR for 10% PLR (dB)	7.1	9.2	14.1	17.3

Table 2: System parameters for the four different target bitrates of the various subband-adaptive OFDM transceivers ( $\mu$ AOFDM).

377 PLR constraint, albeit at about half the throughput bitrate, and hence at a lower video quality.

378 The tradeoffs between video quality and channel SNR for the various target bitrates can be judged from

379 Figure 10, suggesting – as expected – that the higher target bitrates result in a higher video quality,

380 provided that channel conditions are sufficiently favorable. However, as the channel quality degrades,

381 the video packet loss ratio increases, thereby reducing the throughput bitrate, and hence the associated

382 video quality. The lower target bitrate transceivers operate at an inherently lower video quality, but they

383 are more robust to the prevailing channel conditions and hence can operate at lower channel SNRs, while

384 guaranteeing a video quality, which is essentially unaffected by channel errors. It was found that the

385 perceived video quality became impaired for packet loss ratios in excess of about 5%.

386 The tradeoffs between video-quality, packet loss ratio and the target bitrate are further augmented with

387 reference to Figures 11(a), (b) and (c). The figure shows the video quality measured in PSNR versus

388 video frame index at a channel SNR of 16dB and also for an error free situation. At the bottom of each

389 graph the packet loss ratio per video frame is shown. The three figures indicate the tradeoffs to be made

390 in choosing the target bitrate for the specific channel conditions experienced – in this specific example for

391 a channel SNR of 16dB. Note that under error free conditions the video quality improved upon increasing

392 the bitrate.

393 Specifically, video PSNRs of about 40, 41.5 and 43dB were observed for the effective video bitrates of

394 1.8, 3.4 and 7.0Mbps. Figure 11(a) shows that for the target bitrate of 1.8Mbps, the system has a high

395 grade of freedom in choosing, which subcarriers to invoke and therefore it is capable of reducing the

396 number of packets that are lost. The packet loss ratio remains low and the video quality remains similar

397 to that of the error free situation. The two instances, where the PSNR is significantly different from

398 the error free performance correspond to video frames, in which video packets were lost. However, the

399 system recovers in both instances in the following video frame.

400 As the target bitrate of the subband-adaptive OFDM transceiver is increased to 3.4Mbps (see Fig-

401 ure 11(b)), the subband modulation mode selection process has to be more “aggressive”, resulting in

402 increased video packet loss. Observe in the figure that the transceiver having an effective video bitrate of

403 3.4Mbps, exhibits increased packet loss, and in one frame as much as 5% of the packets transmitted for

404 that video frame were lost, although the average PLR was only 0.4%. Due to the increased packet loss

405 the video PSNR curve diverges from the error-free performance curve more often. However, in almost

406 all cases the effects of the packet losses are masked in the next video frame, indicated by the re-merging

407 PSNR curves in the figure, maintaining a close to error-free PSNR. The subjective effect of this level of

408 packet loss is almost inperceivable.

409 When the target bitrate is further increased to 7.0Mbps (see Figure 11(c)), the average PLR is about 5%

410 under the same channel conditions, and the effects of this packet loss ratio are becoming objectionable in  
411 perceived video quality terms. At this target bitrate, there are several video frames, where at least 10% of  
412 the video packets have been lost. The video quality measured in PSNR terms rarely reaches its error-free  
413 level, due to the fact that every video frame contains at least one lost packet. The perceived video quality  
414 remains virtually unimpaired, until the head movement in the "Suzie" video sequence around frames  
415 40-50, where the effect of lost packets becomes obvious, and the PSNR drops to about 30dB.

#### 416 4.6 Modifying the target bitrate based on channel conditions

417 By using a high target bitrate, when the channel quality is high, while a reduced target bitrate, when the  
418 channel quality is poor, such an adaptive system is capable of maximising the average throughput bitrate  
419 over a wide range of channel SNRs, while maintaining a given quality constraint. This quality constraint  
420 for our video system could be a maximum packet loss ratio.

421 However there is a substantial processing delay associated with evaluating the packet loss information  
422 and therefore modem mode switching based on this metric would be less efficient due to this latency.  
423 Therefore we decided to invoke an estimate of the bit error ratio (BER) for mode switching. The channel  
424 quality estimator can estimate the expected bit error ratio based on each specific modulation mode chosen  
425 for each subband. We decided to use a quadruple-mode switched subband-adaptive modem, using the  
426 four target bitrates of Table 2. The channel estimator can then estimate the expected bit error ratio of  
427 the four possible modem modes. The modem mode for the next OFDM symbol is then chosen based  
428 upon the estimate of BER for each of the four modes. Our switching scheme opted for the modem mode,  
429 whose estimated BER was below the required threshold. This threshold could be varied in order to tune  
430 the behaviour of the switched subband-adaptive modem for a high or a low throughput. The advantage of  
431 a higher throughput was a higher error-free video quality at the expense of increased video packet losses.  
432 which could reduce the perceived video quality.

433 Figure 12 demonstrates, how the switching algorithm operates for a 1% estimated BER threshold. Specifically,  
434 the figure portrays the estimate of the bit error ratio for the four possible modem modes versus  
435 time. The large square and the dotted line indicates the mode chosen for each time interval by the mode  
436 switching algorithm. The algorithm attempts to use the highest bitrate mode, whose BER estimate is less  
437 than the target threshold namely, 1% in this case. However, if all the four modes' estimate of the BER  
438 is above the 1% threshold, then the lowest bitrate mode is chosen, since this will be the most robust to  
439 channel errors. An example of this is shown around frames 1035-1040. At the bottom of the graph a bar  
440 chart specifies the bitrate of the switched subband adaptive modem versus time, in order to emphasise  
441 when the switching occurs.

442 An example of the algorithm when switching amongst the target bitrates of 1.8, 3.4, 7 and 10Mbps is  
443 shown in Figures 13(a) and (b). Figure 13(a) portrays the contour plot of the channel SNR for each  
444 subcarrier versus time. Figure 13(b) displays the modulation mode chosen for each 32-subcarrier sub-  
445 band versus time for the time-varient target bitrate (TVTBR) subband adaptive modem. It can be seen  
446 at frames 1051–1055 that all the subbands employ QPSK modulation, therefore the TVTBR-AOFDM  
447 modem has an instantaneous target bitrate of 7Mbps. As the channel used by the 3.4 Mbps QPSK mode  
448 degrades around frame 1060, the modem has switched to the more robust 1.8Mbps BPSK mode. When  
449 the channel quality is high around frames 1074-1081, the highest bitrate 10Mbps 16QAM mode is used.  
450 This demonstrates that the TVTBR-AOFDM modem, can reduce the number of lost video packets, by  
451 using reduced bitrate but more robust modulation modes, when the channel quality is poor. However,  
452 this is at the expense of a slightly reduced average throughput bitrate. Usually a higher throughput bitrate  
453 results in a higher video quality, however a high bitrate associated with a high packet loss ratio, is usually  
454 less attractive in terms of perceived video quality than a lower bitrate, lower packet loss ratio mode.  
455 Having highlighted how the time-domain mode switching algorithm operates, we will now characterise  
456 its performance for a range of different BER switching thresholds. A low BER switching threshold  
457 implies that the switching algorithm is cautious about switching to the higher bitrate modes, and therefore  
458 the system performance is characterised by a low video packet loss ratio, and a low throughput bitrate.  
459 A high BER switching threshold results in the switching algorithm attempting to use the highest bitrate  
460 modes in all but the worst channel conditions. This results in a higher video packet loss ratio. However,  
461 if the packet loss ratio is not excessively high, a higher video throughput is achieved.  
462 Figure 14 portrays the video packet loss ratio or FER performance of the TVTBR-AOFDM modem for  
463 a variety of BER thresholds, compared to the minimum and maximum rate un-switched modes. It can  
464 be seen that for a conservative BER switching threshold of 0.1% the time-varient target bitrate subband  
465 adaptive (TVTBR-AOFDM) modem has a similar packet loss ratio performance to that of the 1.8Mbps  
466 non-switched or constant target bitrate (CTBR) subband adaptive modem. However, as we will show,  
467 the throughput of the switched modem is always better or equal to that of the un-switched modem,  
468 and becomes far superior, as the channel quality improves. Observe in the figure that the "agressive"  
469 switching threshold of 10% has a similar packet loss ratio performance to that of the 9.8Mbps CTBR-  
470 AOFDM modem. We found that in order to maintain a packet loss ratio of below 5%, the BER switching  
471 thresholds of 2 and 3% offered the best overall performance, since the packet loss ratio was fairly low,  
472 while the throughput bitrate was higher, than that of an un-switched CTBR-AOFDM modem.  
473 A high BER switching threshold results in the switched subband adaptive modem transmitting at a high  
474 average bitrate. However, we have shown in Figure 14 how the packet loss ratio increases, as the BER

475 switching threshold is increased. Therefore the overall useful or effective throughput bitrate, ie. the  
476 bitrate excluding lost packets, can be reduced in conjunction with high BER switching thresholds.

477 Figure 15 demonstrates how the transmitted bitrate of the switched TVTBR-AOFDM modem increases  
478 with higher BER switching thresholds. However, when this is compared to the effective throughput  
479 bitrate, where the effects of packet loss are taken into account, the tradeoff between the BER switching  
480 threshold and the effective bitrate is less apparent.

481 Figure 16 portrays the corresponding effective throughput bitrate versus channel SNR for a range of  
482 BER switching thresholds. The figure demonstrates that for a BER switching threshold of 10% the  
483 effective throughput bitrate performance was reduced incomparison to some of the lower BER switching  
484 threshold scenarios. Therefore the BER=10% switching threshold is clearly too aggressive, resulting  
485 in a high packet loss ratio, and a reduced effective throughput bitrate. For the switching thresholds  
486 considered, the BER=5% threshold achieved the highest effective throughput bitrate. However, even  
487 though the BER=5% switching threshold produces the highest effective throughput bitrate, this is at the  
488 expense of a relatively high video packet loss ratio, which – as we will show – has a detrimental effect  
489 on the perceived video quality.

490 We will now demonstrate the effects associated with different BER switching thresholds on the video  
491 quality represented by the peak-signal-to-noise ratio (PSNR).

492 Figures 17(a)-17(c) portray the PSNR and packet loss performance versus time for a range of BER  
493 switching thresholds.

494 Figure 17(a) indicates that for a BER switching threshold of 1% the PSNR performance is very similar to  
495 the corresponding error-free video quality. However, the PSNR performance diverges from the error-free  
496 curve, when video packets are lost, although the highest PSNR degradation is limited to 2dB. Further-  
497 more, the PSNR curve typically reverts to the error-free PSNR performance curve in the next frame. In  
498 this example about 80% of the video frames have no video packet loss.

499 When the switching threshold is increased to 2%, as shown in Figure 17(b), the video packet loss ratio  
500 has increased, such that now only 41% of video frames have no packet loss. The result of the increased  
501 packet loss is a PSNR curve, which diverges from the error-free PSNR performance curve more regularly,  
502 with PSNR degradations of upto 7dB. It is worth noting that when there are video frames with no packet  
503 losses, the PSNR typically recovers, achieving a similar PSNR performance to the error-free case. When  
504 the BER switching threshold was further increased to 3%, which is not shown in the figure, the maximum  
505 PSNR degradation increased to 10.5dB, and the number of video frames without packet losses was  
506 reduced to 6%.

507 Figure 17(c) portrays the PSNR and packet loss performance for a BER switching threshold of 5%. The

508 PSNR degradation in this case ranges from 1.8 to 13dB and all video frames contain at least one lost  
509 video packet. Even though the BER=5% switching threshold provides the highest effective throughput  
510 bitrate, the associated video quality is poor. The PSNR degradation in most video frames is about 10dB.  
511 Clearly, the highest effective throughput bitrate does not guarantee the best video quality. We will now  
512 demonstrate that the switching threshold of BER=1% provides the best video quality, when using the  
513 average PSNR as a performance metric.

514 Figure 18(a) compares the average PSNR versus channel SNR performance for a range of switched  
515 (TVTBR) and un-switched (CTBR) AOFDM modems. The figure compares the four un-switched, ie.  
516 CTBR subband adaptive modems with switching, ie. TVTBR subband adaptive modems, which switch  
517 between the four fixed-rate modes, depending on the BER switching threshold. The figure indicates  
518 that the switched TVTBR subband adaptive modem having a switching threshold of BER=10% results  
519 in similar PSNR performance to the un-switched CTBR 9.8Mbps subband adaptive modem. When  
520 the switching threshold is reduced to BER=3%, the switched TVTBR AOFDM modem outperforms  
521 all of the un-switched CTBR AOFDM modems. A switching threshold of BER=5% achieves a PSNR  
522 performance, which is better than the un-switched 9.8Mbps CTBR AOFDM modem, but worse than the  
523 un-switched 7.0Mbps modem, at low and medium channel SNRs.

524 A comparison of the switched TVTBR AOFDM modem employing all six switching thresholds that  
525 we have used previously is shown in Figure 18(b). This figure suggests that switching thresholds of  
526 BER=0.1, 1 and 2% perform better than the BER=3% threshold, which outperformed all of the un-  
527 switched CTBR subband adaptive modems. The best average PSNR performance was achieved by  
528 a switching threshold of BER=1%. The more conservative BER=0.1% switching threshold results in  
529 a lower PSNR performance, since its throughput bitrate was significantly reduced. Therefore the best  
530 tradeoff in terms of PSNR, throughput bitrate and video packet loss ratio was achieved with a switching  
531 threshold of about BER=1%.

#### 532 4.7 Summary of Embodiments

533 We have outlined an adaptive modulation technique, which can be applied to OFDM systems. In prac-  
534 tical terms the subcarrier modem mode cannot be independently chosen for each subcarrier, since the  
535 associated modem mode side-information would be prohibitively high. Hence we divided the subcarri-  
536 ers into subband and controlled the modulation modes on a subband-by-subband basis, which resulted in  
537 an acceptable side information requirement.

538 In Section 4.4 we compared the performance of subband adaptive OFDM modems to conventional  
539 OFDM modems, operating at the same bitrate. The subband adaptive modem could invoke BPSK, QPSK.

540 or 16QAM modulation for each subband, or disable transmission for a subband, if the channel conditions  
541 were poor. The subband adaptive modems could provide a lower BER, than the corresponding conven-  
542 tion BPSK or QPSK OFDM modems at the same channel SNR. This was achieved by transmitting more  
543 bits in the higher-quality subbands, and less bits in the lower-quality subbands, thereby reducing the  
544 chances of corrupted bits. The lower BER of the subband adaptive OFDM modems provided a higher  
545 effective video bitrate for the video codec, which in turn provided a higher video quality. Additionally  
546 the subband adaptive modem could operate at lower channel SNRs, while maintaining the required video  
547 quality.

548 In Section 4.5 we compared the performance of subband adaptive OFDM modems, operating at different  
549 target bitrates. This showed that higher target bitrates required a higher channel quality. This was further  
550 exploited in Section 4.6, where we added another level of adaption, by switching between different target  
551 bitrates, based on the prevailing channel conditions. This enabled a time-variant target bitrate subband  
552 adaptive OFDM (TVTBR-AOFDM) modem to provide a higher bitrate, when the overall channel quality  
553 was high, and a lower bitrate when the overall channel quality was poor, in order to maintain the required  
554 video quality.

555 The proposed constant target bitrate subband adaptive OFDM (CTBR-AOFDM) modems can provide  
556 a lower BER, than a corresponding conventional OFDM modem. The slightly more complex switched  
557 TVTBR-AOFDM modems can provide a balanced video quality performance, across a wider range of  
558 channel SNRs.

#### 559 4.8 Conclusions

560 The proposed burst-by-burst adaptive multimedia OFDM transceiver concept exhibits substantial ad-  
561 vantages in comparison to conventional fixed-mode OFDM transceivers, which was substantiated in the  
562 context of a specific embodiment of the advocated system concept, namely with the aid of a burst-by-  
563 burst adaptive video transceiver.

564 Specifically, the main advantage of the proposed burst-by-burst adaptive OFDM multimedia transceiver  
565 technique is that irrespective of the prevailing channel conditions, the transceiver achieves always the best  
566 possible source-signal representation quality - such as video, speech or audio quality - by automatically  
567 adjusting the achievable bitrate and the associated multimedia source-signal representation quality in or-  
568 der to match the channel quality experienced. This is achieved on a near-instantaneous basis under given  
569 propagation conditions in order to cater for the effects of path-loss, fast-fading, slow-fading, dispersion,  
570 etc. Furthermore, when the mobile is roaming in a hostile outdoor propagation environment, typically  
571 low-order, low-rate modem modes are invoked, while in benign indoor environments predominantly the

572 high-rate, high source-signal representation quality modes are employed.

573 The proposed system concept has the following important features:

- 574 1. A reliable near-instantaneous channel quality metric is employed, in order to appropriately config-  
575 ure the AOFDM modem for maintaining the required target BER and the associated source signal  
576 representation quality.
- 577 2. The perceived channel quality determines the number of bits that can be transmitted in a given  
578 OFDM transmission burst, which in turn predetermines the number of bits to be generated by the  
579 associated multimedia source codec, such as for example the associated video, audio or speech  
580 codec. Hence the multimedia source codec has to be capable of adjusting the number of bits  
581 generated under the instruction of the burst-by-burst adaptive OFDM transceiver.
- 582 3. The OFDM transmitter mode requested by the receiver, in order to achieve the target performance  
583 has to be signalled by the receiver to the remote transmitter. Another scenario is, where the up-  
584 link and downlink channel quality is sufficiently similar for allowing the receiver to judge, what  
585 transmission mode the associated transmitter should use, in order for its transmitted signal to man-  
586 tain the required transmission integrity. Lastly, the mode of operation used by the transmitter can  
587 also be detected using blind detection techniques, for example in conjunction with the associated  
588 channel decoder.
- 589 4. In practical terms the AOFDM subcarrier modem mode cannot be independently chosen for each  
590 subcarrier, since the associated modem mode side-information would be prohibitively high. Hence  
591 we proposed to divide the AOFDM subcarriers into subbands and to control the modulation modes  
592 on a subband-by-subband basis, which resulted in an acceptable side information requirement.
- 593 5. The subband adaptive modems may provide a lower BER, than the corresponding conventional  
594 BPSK or QPSK OFDM modems at the same channel SNR. This was achieved by transmitting more  
595 bits in the higher-quality subbands, and less bits in the lower-quality subbands, thereby reducing  
596 the chances of corrupted bits. The lower BER of the subband adaptive OFDM modems provided  
597 a higher effective video bitrate for the video codec in the studied embodiment of the proposed  
598 system, which in turn provided a higher video quality. Additionally the subband adaptive modem  
599 could operate at lower channel SNRs, while maintaining the required video quality.
- 600 6. Higher AOFDM target bitrates required a higher channel quality. This was further exploited in  
601 Section 4.6, where we added another level of adaption, by switching between different target

602       bitrates, based on the prevailing channel conditions. This enabled a time-variant target bitrate  
603       subband adaptive OFDM (TVTBR-AOFDM) modem to provide a higher bitrate, when the overall  
604       channel quality was high, and a lower bitrate when the overall channel quality was poor, in order  
605       to maintain the required video quality.

606       7. The proposed constant target bitrate subband adaptive OFDM (CTBR-AOFDM) modems can pro-  
607       vide a lower BER, than a corresponding conventional OFDM modem. The slightly more complex  
608       switched TVTBR-AOFDM modems can provide a balanced video quality performance, across a  
609       wider range of channel SNRs.

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CLAIMS

1. A transmitter for transmission of a multimedia source signal over a transmission medium to a remote receiver, the transmitter comprising:
  - 5 an AOFDM modem having an output for transmitting a multimedia source signal;
  - a source codec arranged to supply the multimedia source signal to the modem; and
  - 10 an input for receiving a metric of channel quality indicative of current transmission integrity;
  - wherein the modem and/or the source codec are reconfigurable responsive to the channel quality in order to maintain a required multimedia source signal integrity at a remote receiver receiving the multimedia source signal transmitted by the modem.
- 15 2. A transmitter according to claim 1, wherein the required multimedia source signal integrity is defined in terms of a target bit error rate (BER) and/or a target AOFDM symbol error rate (SER) at the remote receiver.
- 20 3. A transmitter according to claim 2, further comprising a channel quality estimator for determining BER and/or SER estimates for each of a plurality of transmission modes of the modem, the modem being operable according to a switching scheme whereby the transmission mode is chosen based upon the BER or SER estimates for the transmission modes.
- 25 4. A transmitter according to claim 3, wherein the transmission mode is chosen to be the transmission mode with the highest bit rate that has an estimate of the target BER or target AOFDM SER below a threshold.
- 30 5. A transmitter according to claim 4, wherein the threshold target BER or SER is variable according to prevailing channel conditions.

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6. A transmitter according to any one of claims 3 to 5, wherein the AOFDM modem is operable to transmit using a plurality of subcarrier subbands, the transmission mode being independently selectable for the different subbands, whereby higher transmission rates are achieved in higher-quality subbands and lower transmission rates in lower-quality subbands.

7. A transmitter according to any one of claims 2 to 6, wherein the target BER is set to limit the AOFDM SER to a maximum.

10 8. A transmitter according to any one of the preceding claims, wherein the required multimedia source signal integrity is defined in terms of an AOFDM SER at the remote receiver.

15 9. A transmitter according to any one of the preceding claims, wherein the modem is reconfigurable in use by varying the number of bits per AOFDM symbol responsive to the channel quality.

10. A transmitter according to any one of the preceding claims, wherein the channel quality metric is based on the current BER or AOFDM SER detected at the 20 remote receiver and transmitted back to the transmitter.

11. A transmitter according to any one of claims 1 to 10, wherein the channel quality metric is based on the current transmission BER or AOFDM SER detected at a receiver local to the transmitter sharing the transmitter's transmission medium.

25

12. A transmission system for transmission of multimedia source signals over a transmission medium, the system comprising:  
a first transceiver including a local receiver and a local transmitter according to any one of the preceding claims; and  
30 a second transceiver including a remote receiver and a remote transmitter according to any one of the preceding claims.

13. A method of transmitting a multimedia source signal, the method comprising:  
providing a transmitter comprising a source encoder and AOFDM modulator;  
generating a multimedia source signal in the source encoder;  
supplying the multimedia source signal to the AOFDM modulator;  
5 transmitting the multimedia source signal from the AOFDM modulator over a transmission medium to a remote receiver;  
obtaining a channel quality metric indicative of channel quality experienced by the receiver; and  
controlling the source encoder and/or the AOFDM modulator responsive to  
10 the channel quality metric so that the integrity of the signal received by the receiver meets a desired integrity target.

14. A method according to claim 13, wherein the desired integrity target is defined in terms of a bit error rate (BER) and an AOFDM symbol error rate (SER).  
15

15. A method according to claim 13 or 14, wherein the modem is switched between a plurality of transmission modes according to an estimate of the expected BER or AOFDM SER for the individual transmission modes obtained on the basis of the estimated channel quality, thereby selecting the transmission mode having the  
20 highest transmission rate that complies with the desired integrity target.

16. A method according to claim 15, wherein the AOFDM modulator transmits using a plurality of subcarrier subbands, the transmission modes being independently selected for the individual subbands.  
25

17. A method according to any one of claims 13 to 16, wherein the source encoder is reconfigured during transmission according to the number of bits per AOFDM symbol to be generated responsive to the channel quality metric.

30 18. A method according to any one of claims 13 to 17, wherein the channel quality metric is estimated from the signal received at the receiver and transmitted

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back to the transmitter via a feedback path to set the modulation mode of the transmitter to meet the desired integrity target at the receiver.

19. A method according to any one of claims 13 to 17, wherein the channel  
5 quality metric is estimated from signals transmitted from a remote transmitter over the  
transmission medium to a local receiver.

20. A method according to any one of claims 13 to 19, wherein the channel  
quality predetermines the source-representation quality of the multimedia source  
10 signal received by the receiver under error-free channel conditions.

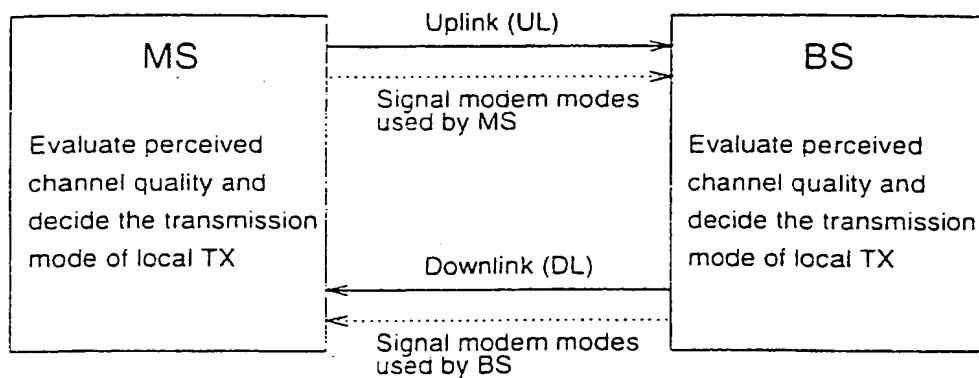
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Fig. 1(a)

Fig. 1(b)

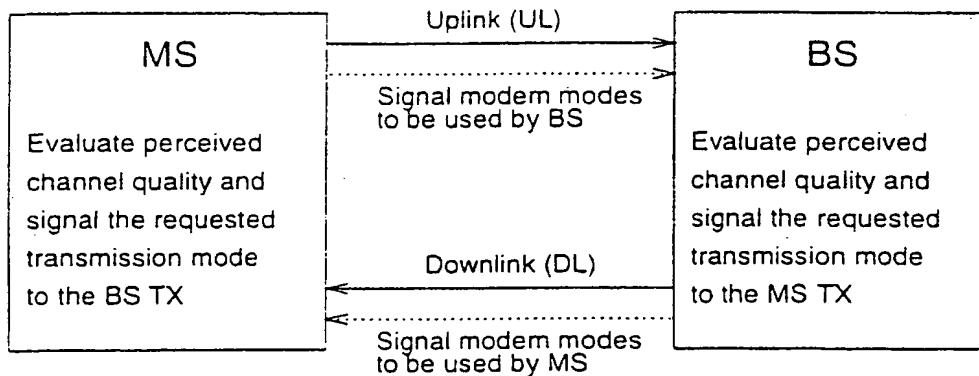
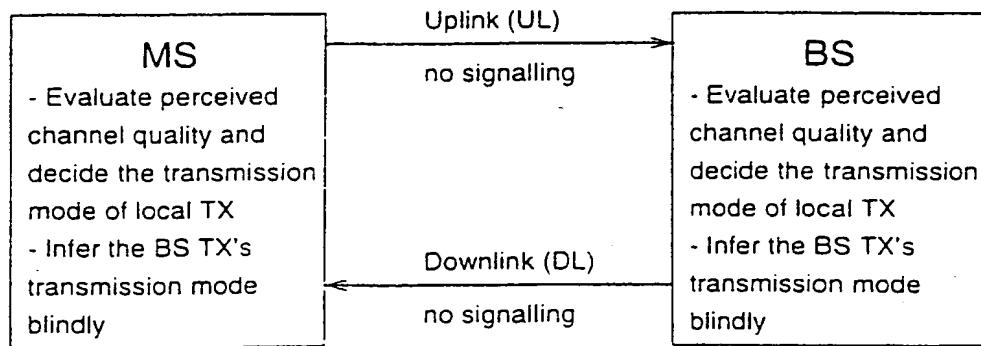
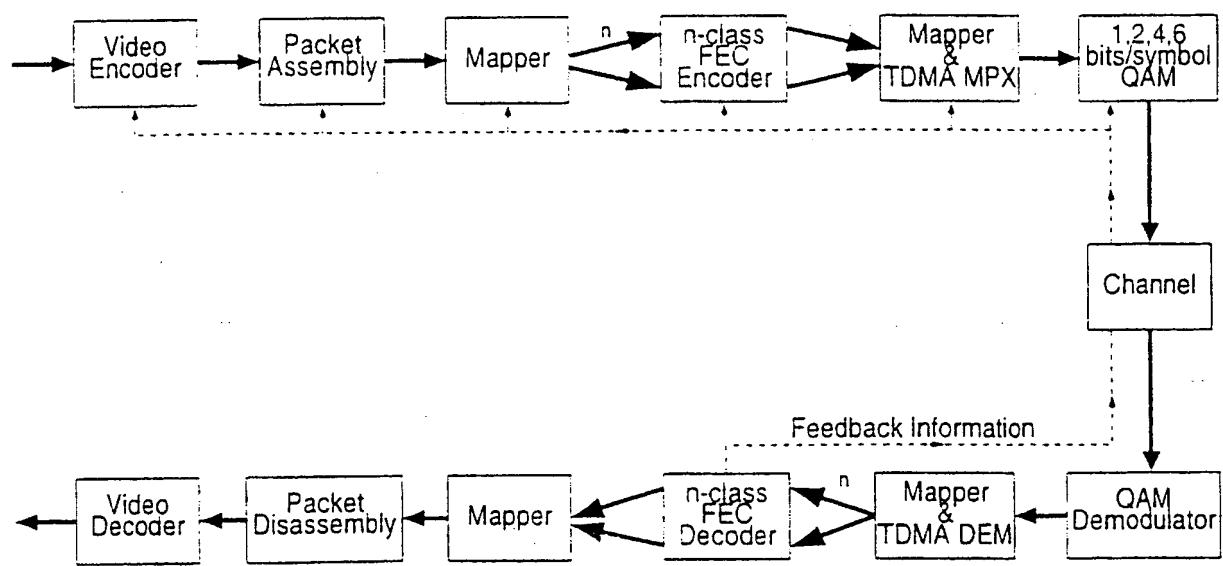


Fig. 1(c)



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Fig. 2



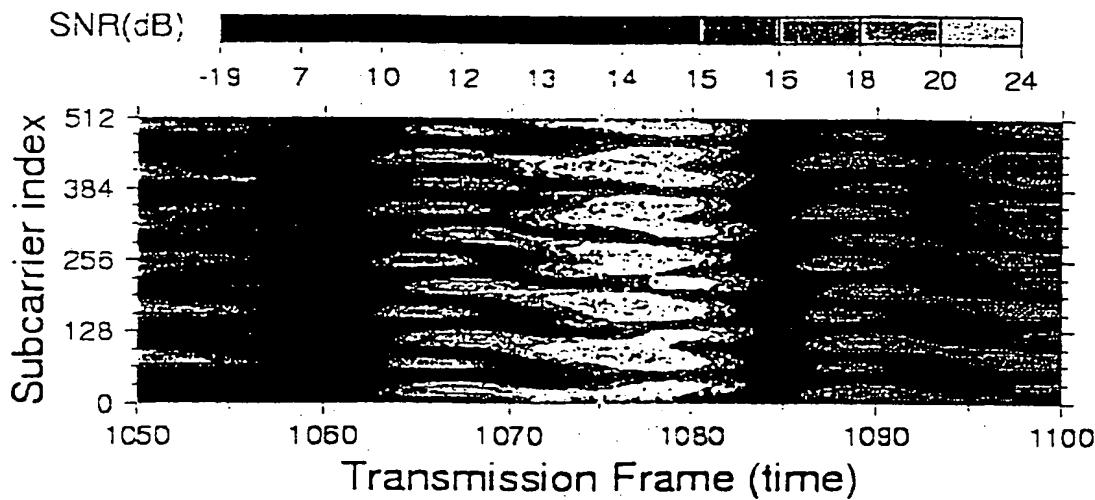
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Fig. 3(a)

Fig. 3(b)

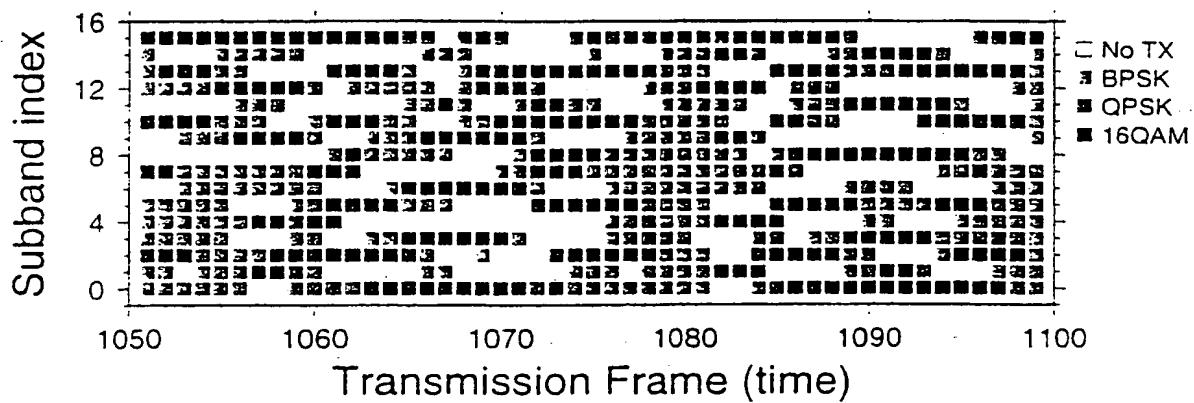
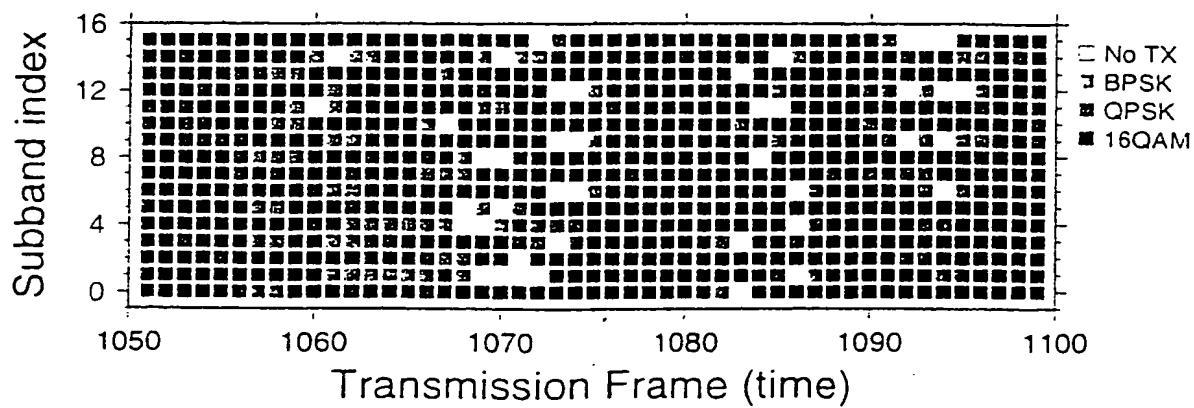


Fig. 3(c)



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Fig. 4

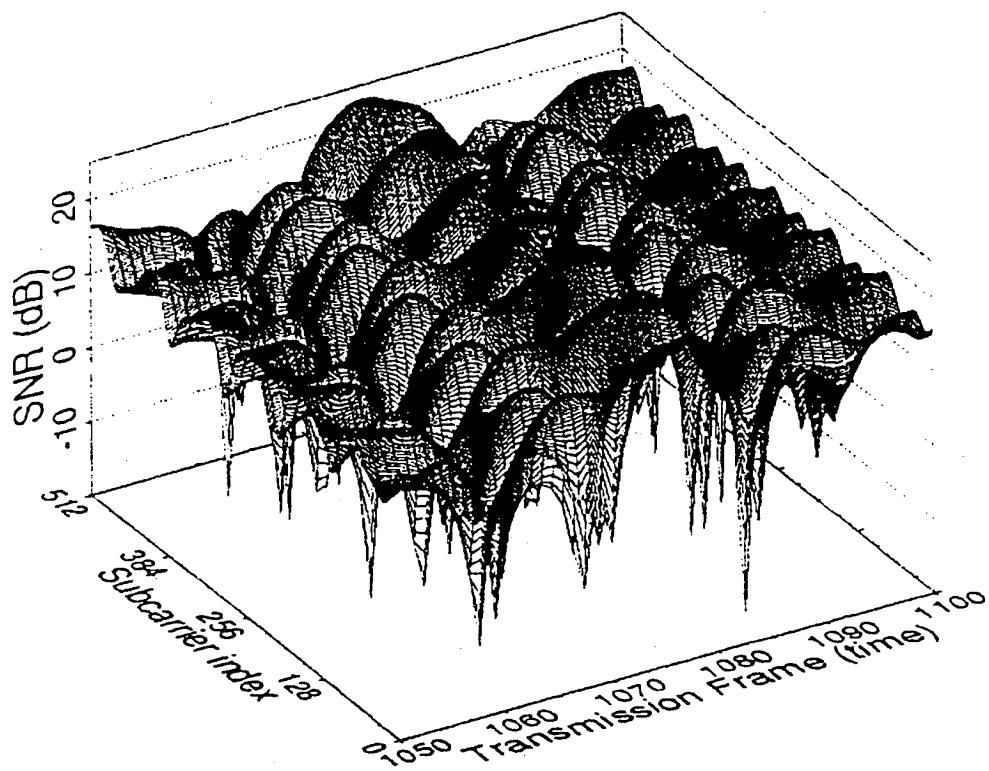
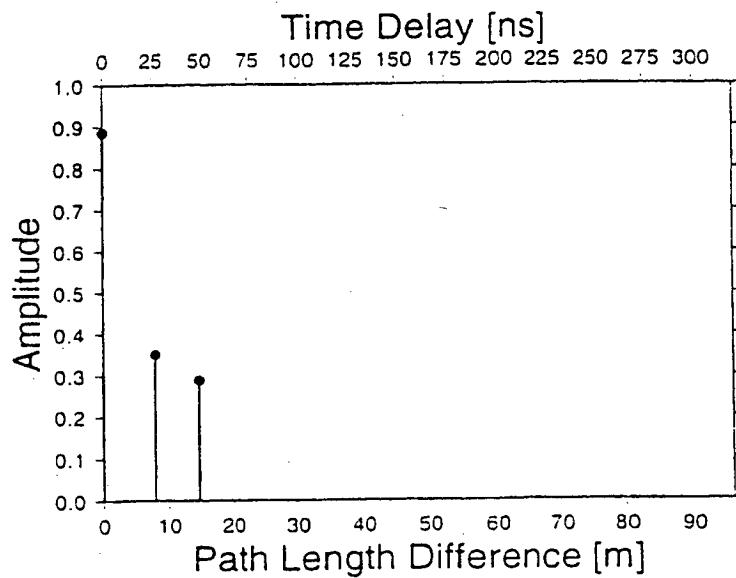


Fig. 5



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Fig. 6

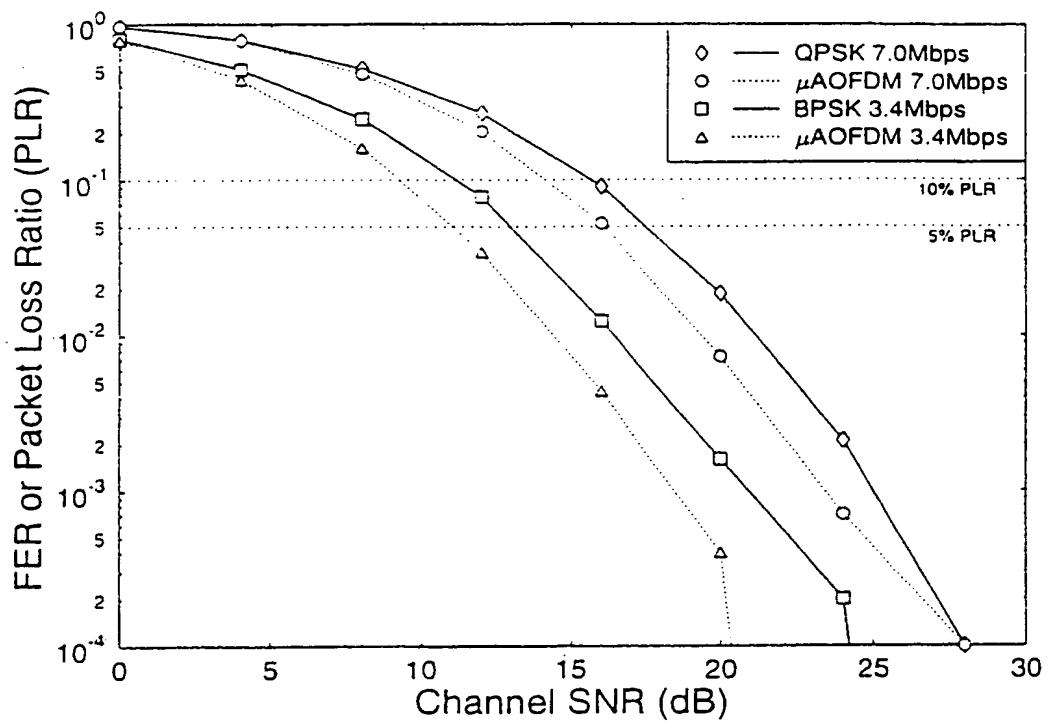


Fig. 7

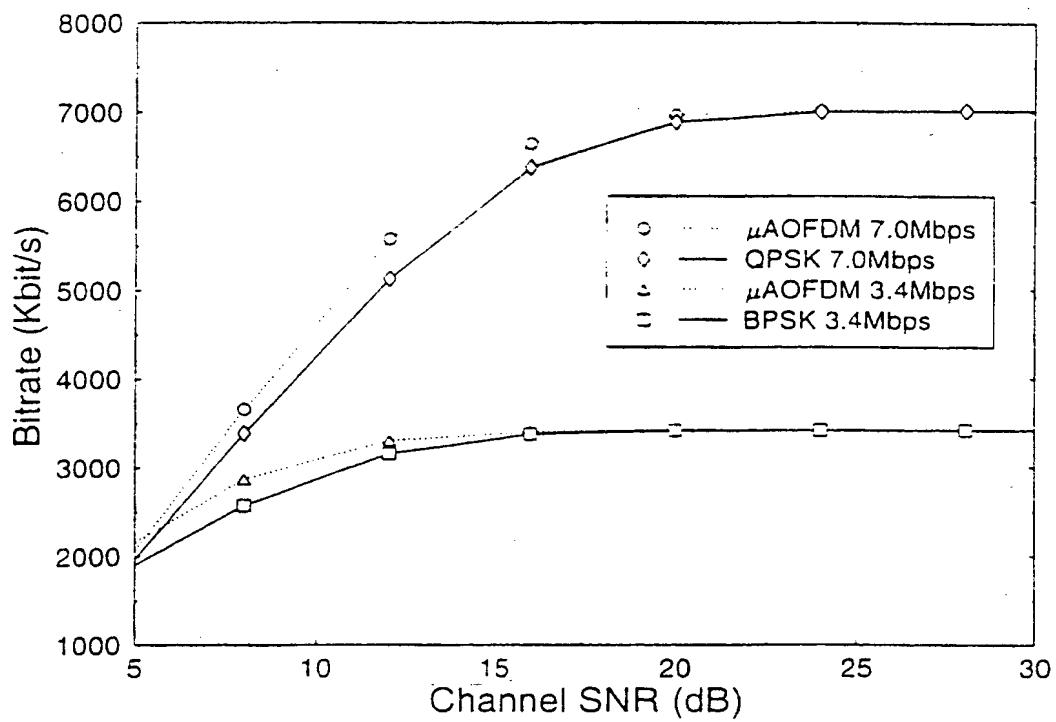


Fig. 8

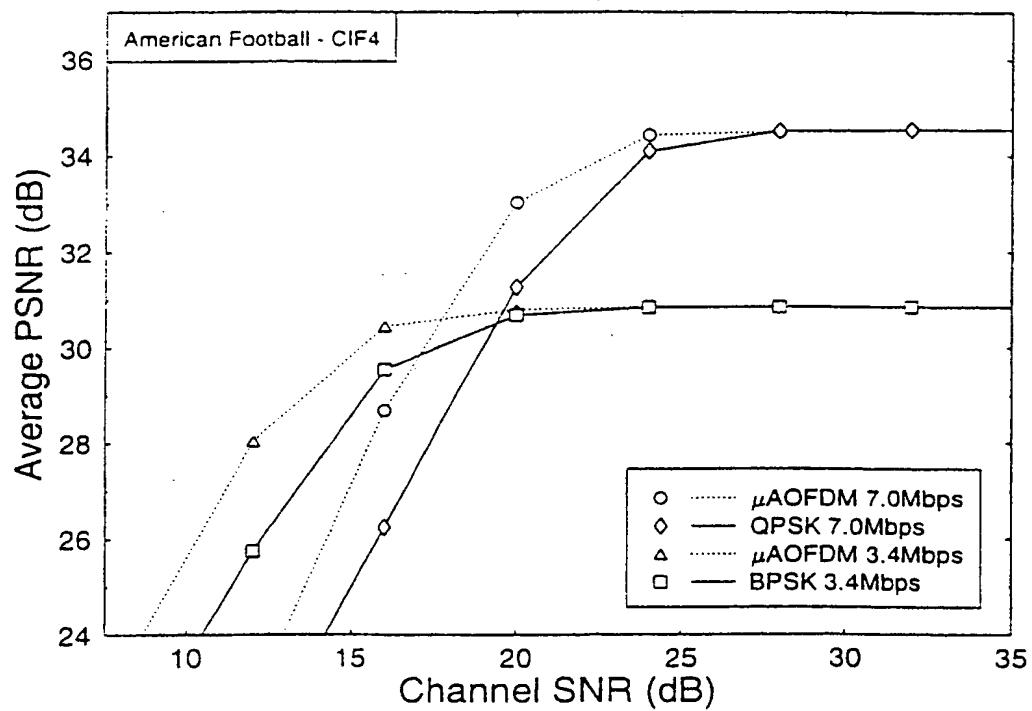
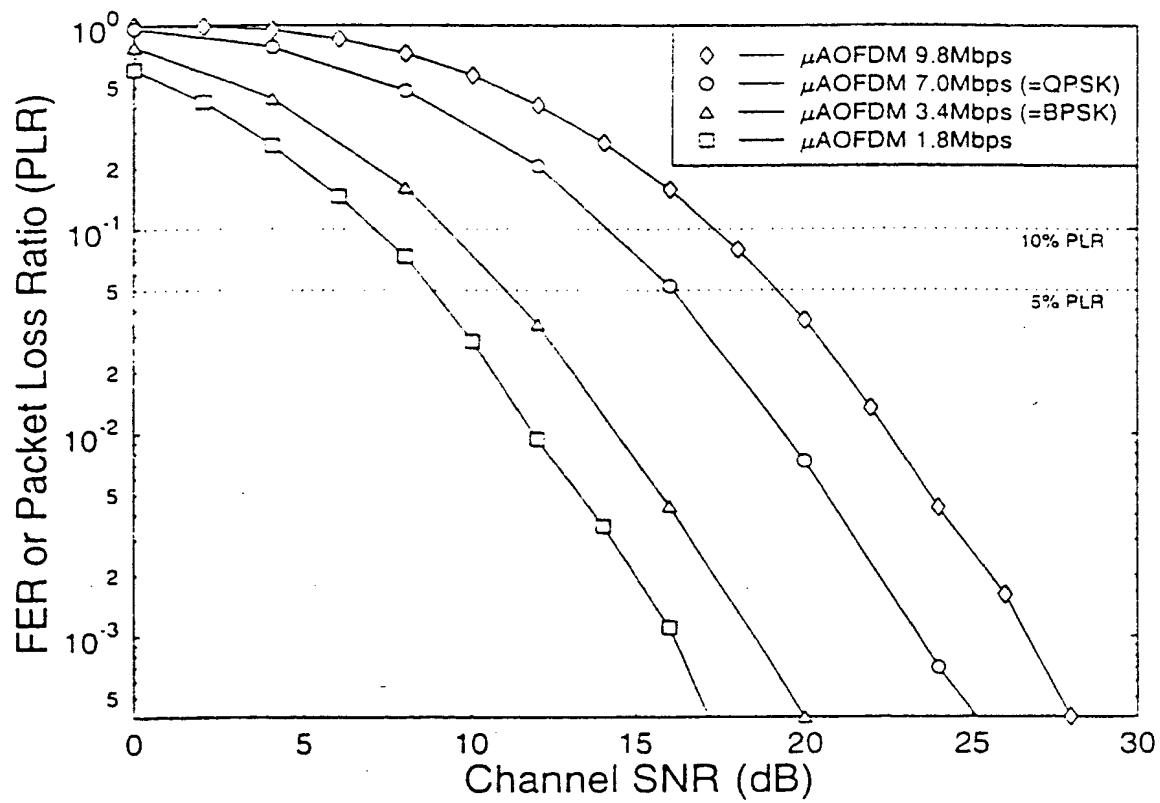


Fig. 9



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Fig. 10

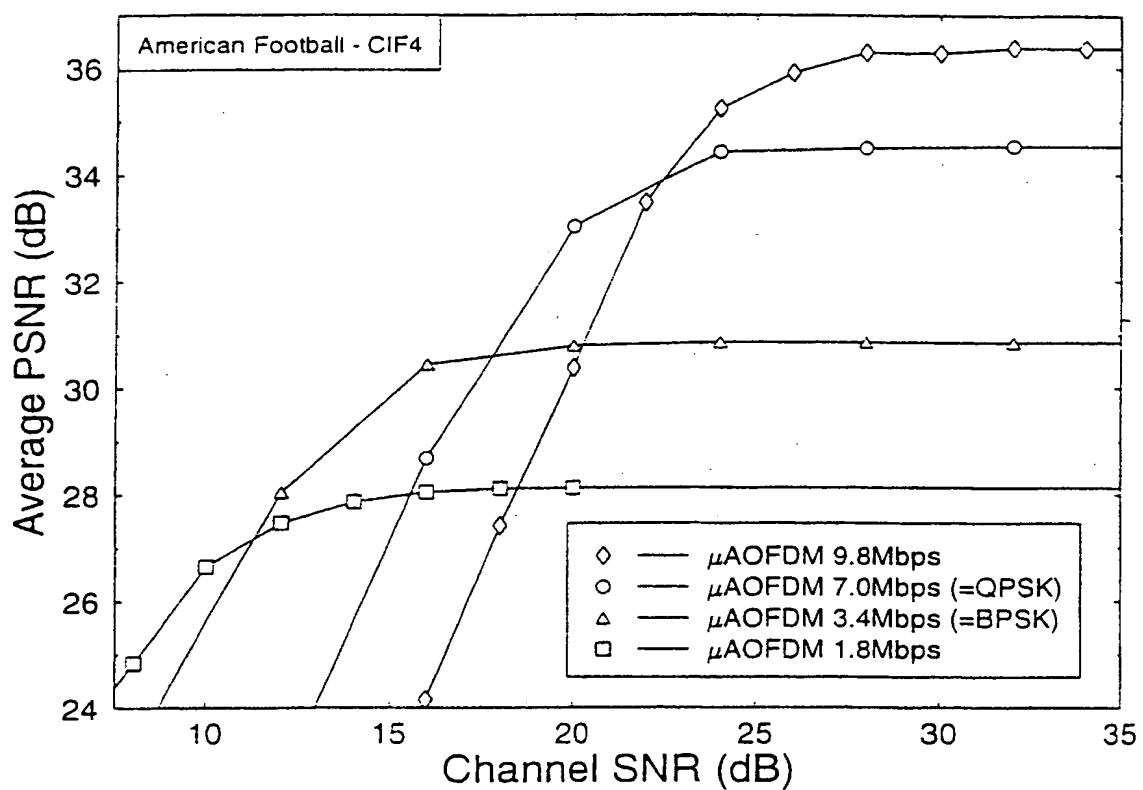


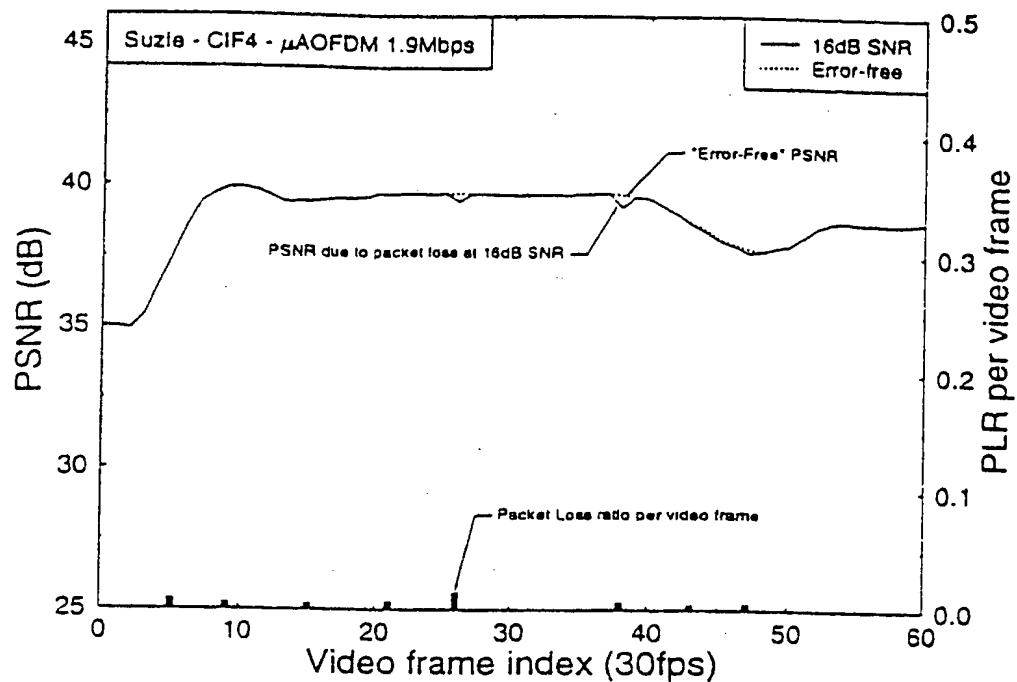
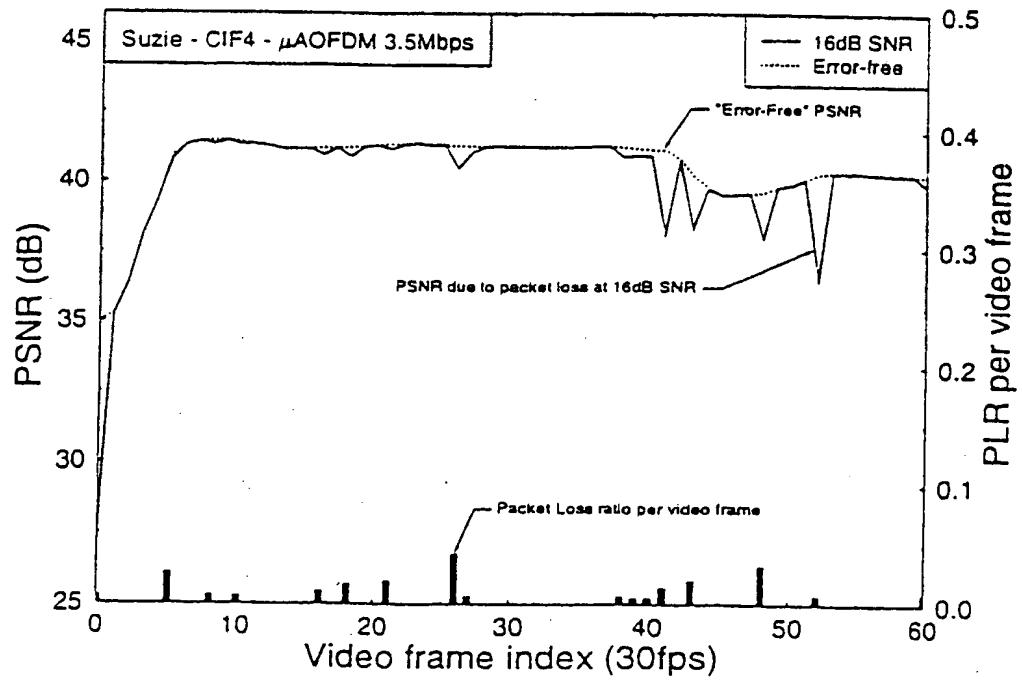
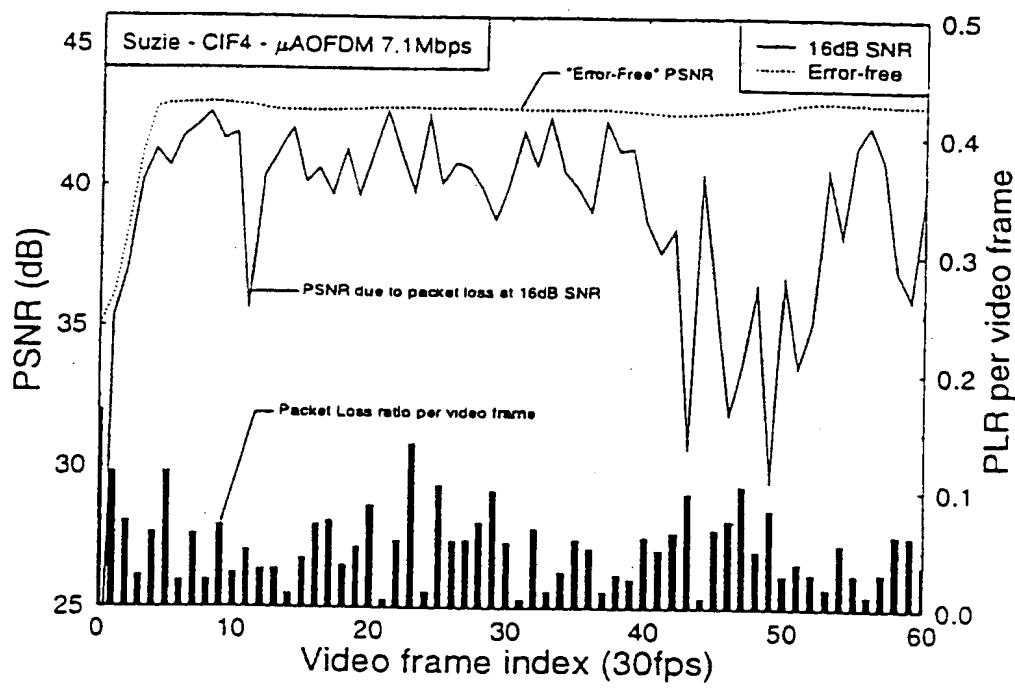
Fig. 11(a)  
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Fig. 11(b)



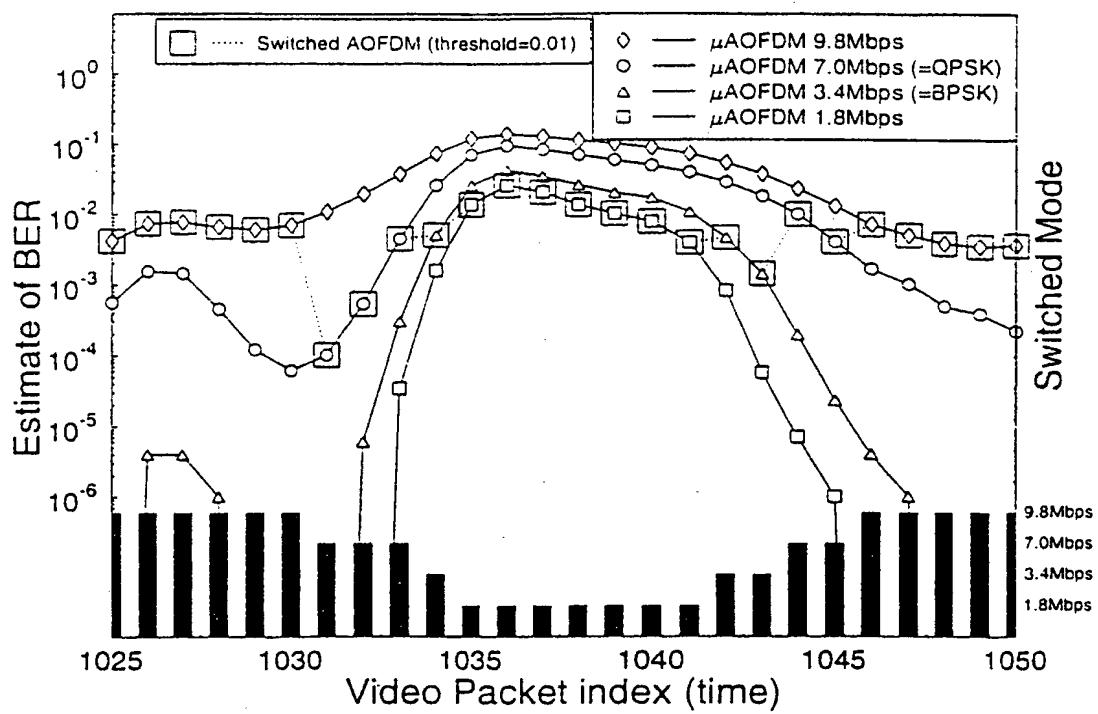
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Fig. 11(c)



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Fig. 12



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Fig. 13(a)

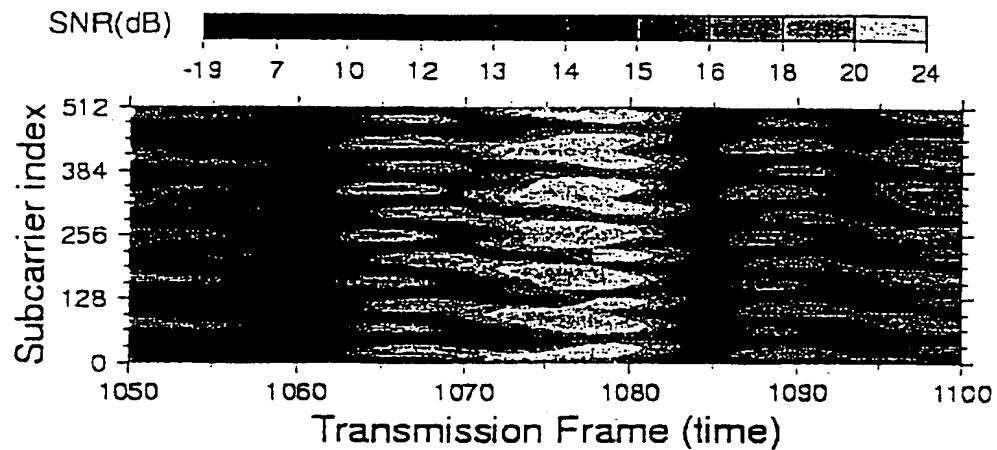
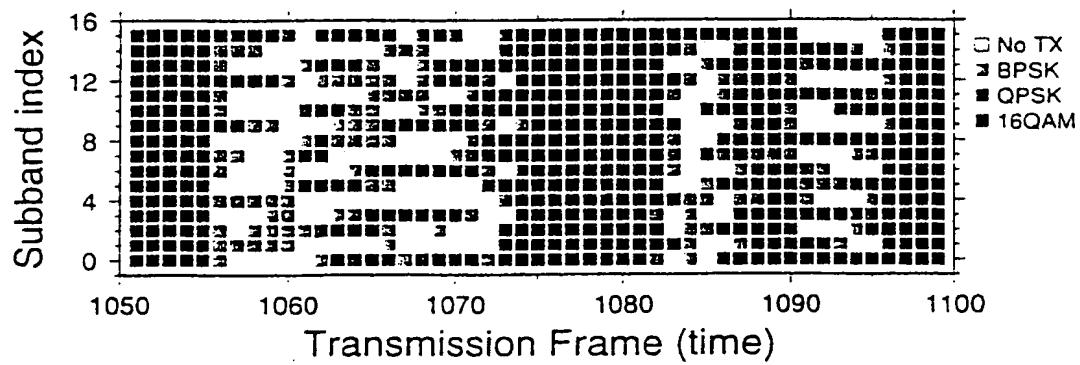
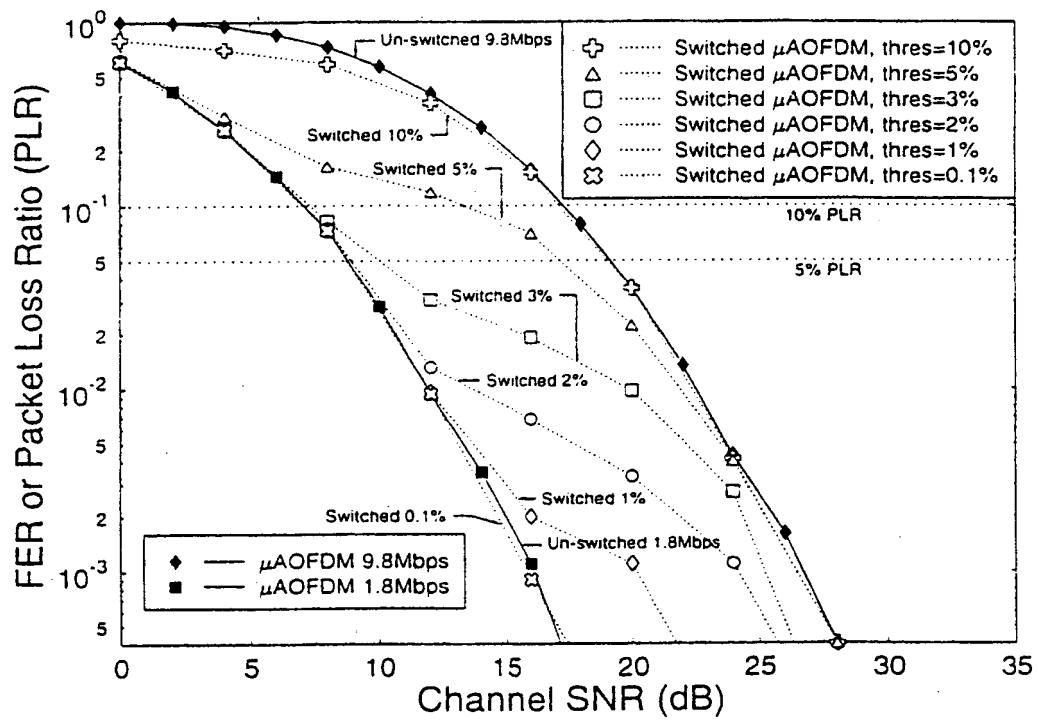


Fig. 13(b)



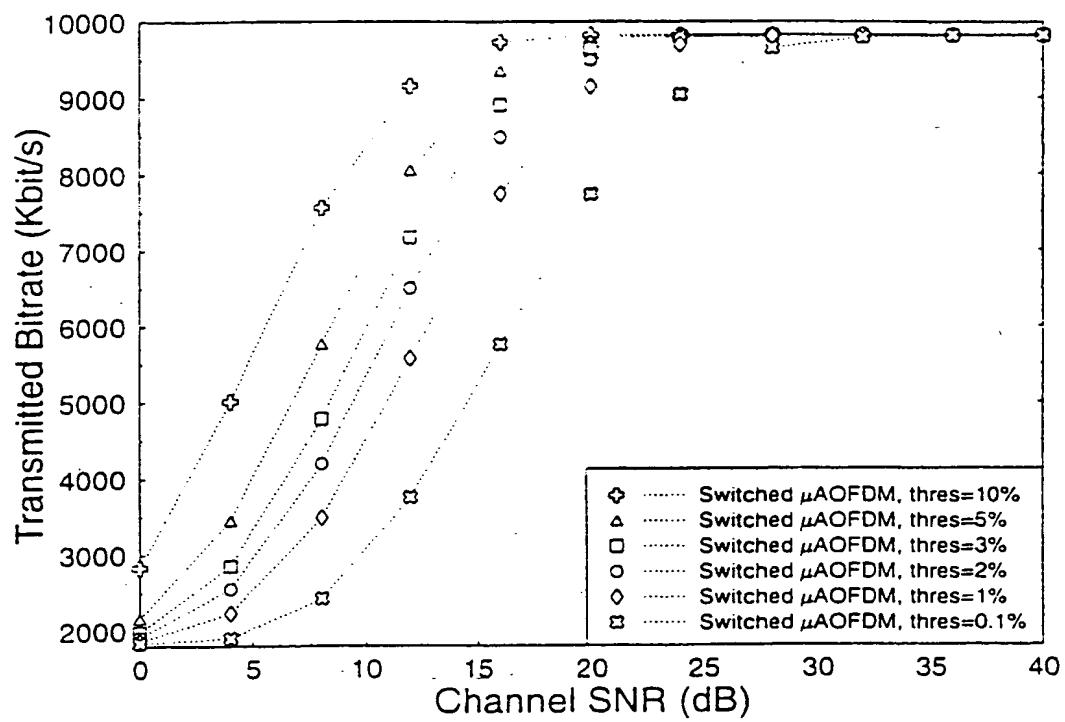
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Fig. 14



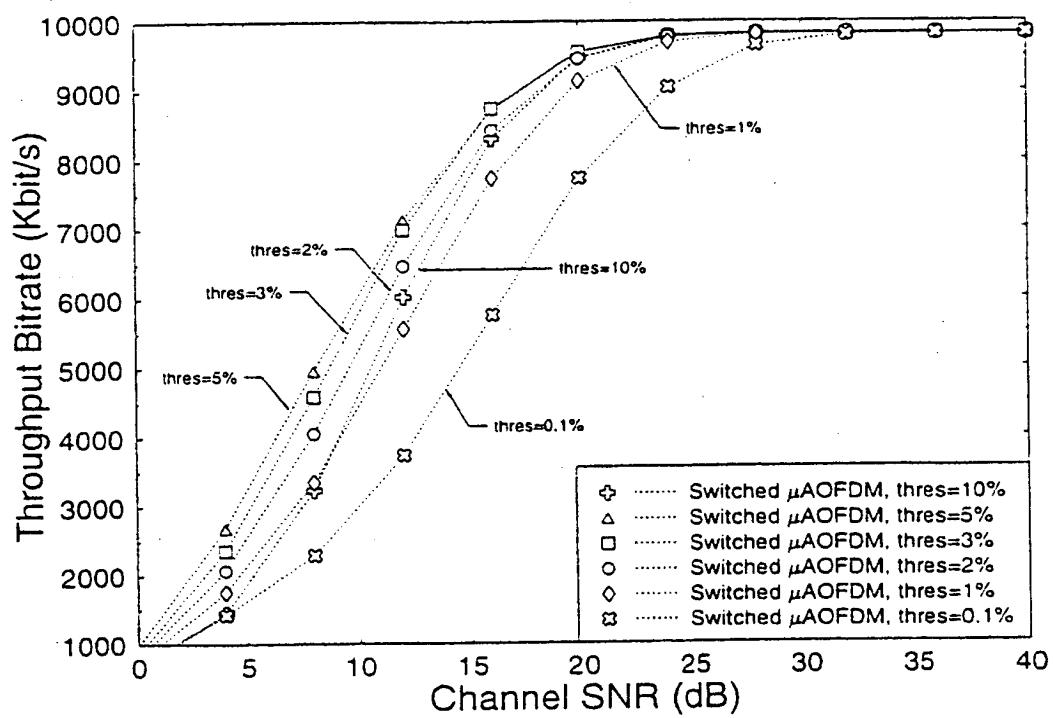
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Fig. 15



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Fig. 16



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Fig. 17(a)

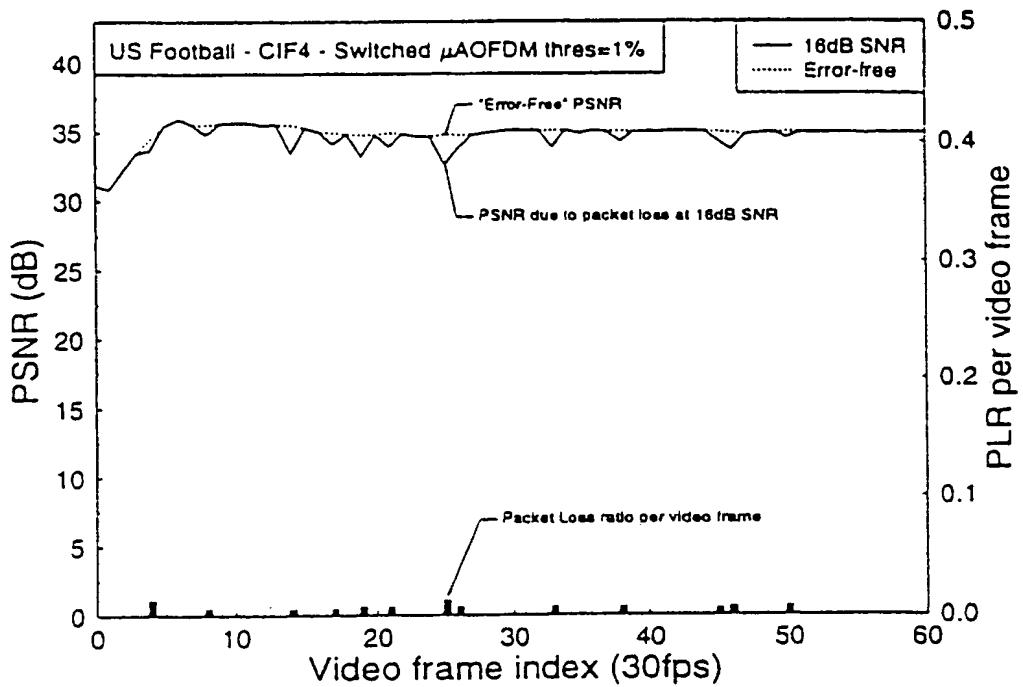
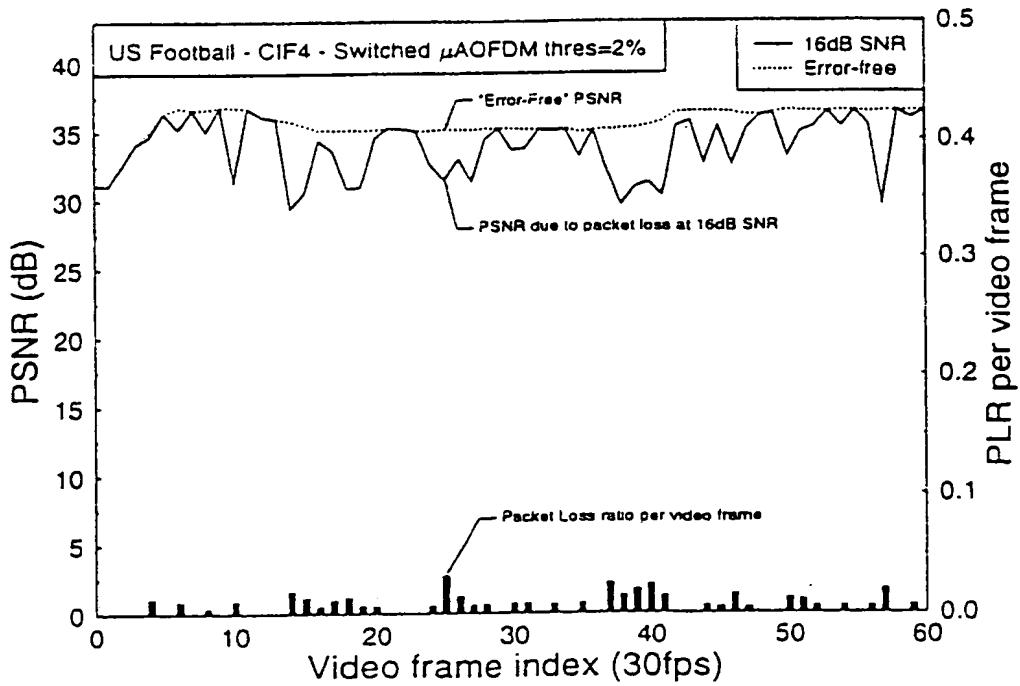
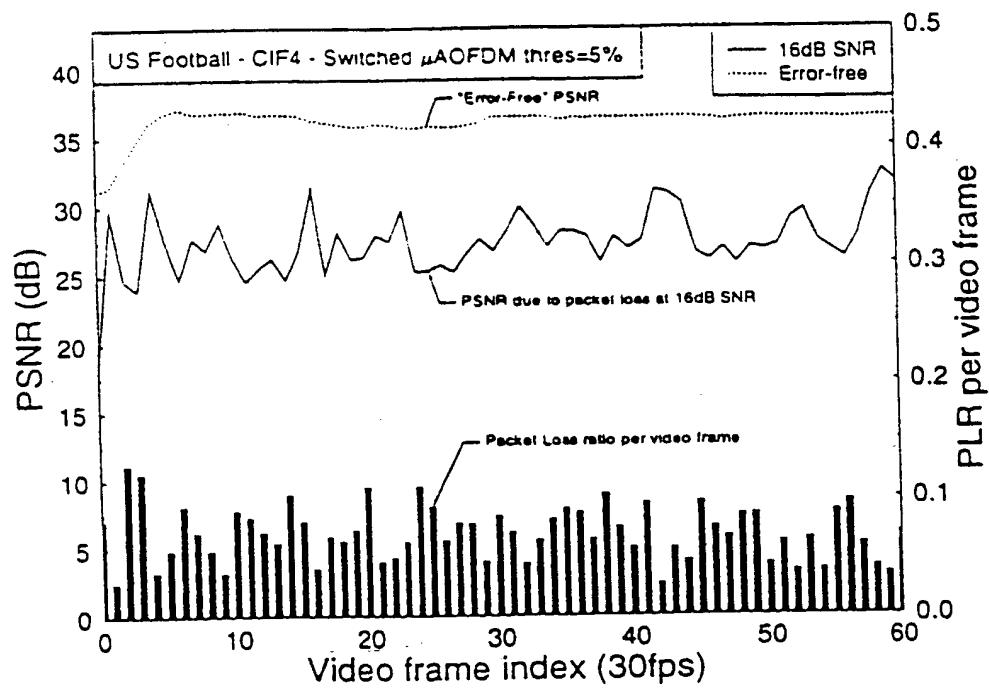


Fig. 17(b)



18 19

Fig. 17(c)



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Fig. 18(a)

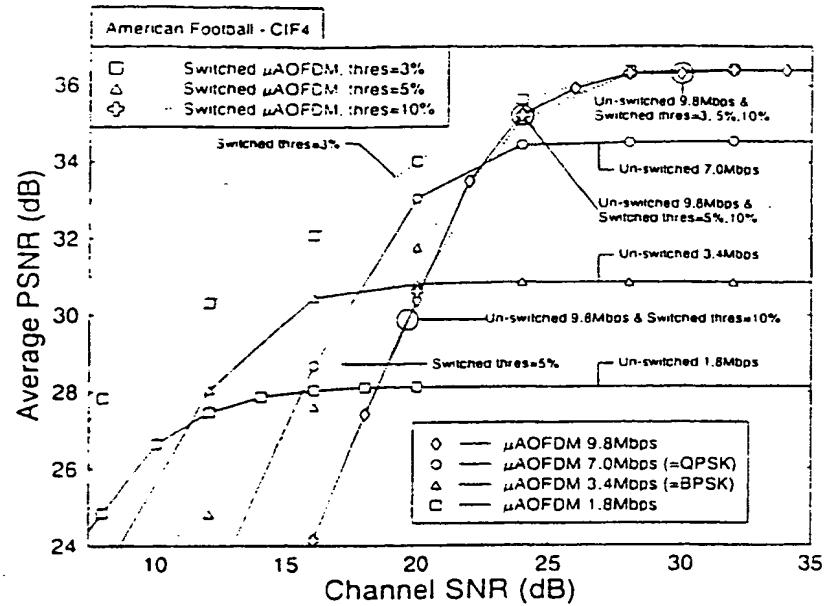
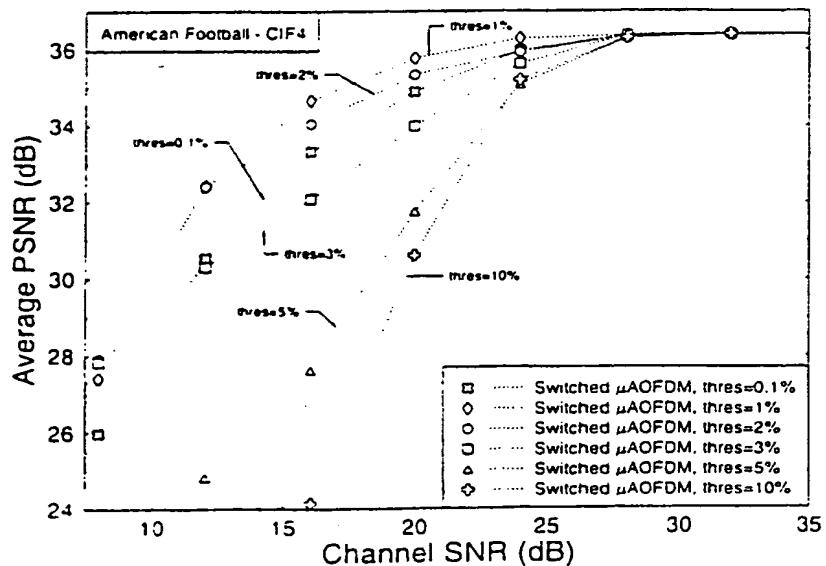


Fig. 18(b)



# INTERNATIONAL SEARCH REPORT

Int'l. Application No  
PCT/GB 00/01883

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L27/26

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC, IBM-TDB, COMPENDEX

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>KELLER T ET AL: "Blind-detection assisted sub-band adaptive turbo-coded OFDM schemes" IEEE 49TH VEHICULAR TECHNOLOGY CONFERENCE, vol. 1, 16 - 20 May 1999, pages 489-493, XP002144718 Piscataway, NJ, USA, IEEE, USA ISBN: 0-7803-5565-2 the whole document</p> <p>---</p> <p>EP 0 869 647 A (LUCENT TECHNOLOGIES INC) 7 October 1998 (1998-10-07) abstract</p> <p>page 3, line 15 -page 4, line 2 page 4, line 17 - line 30 page 6, line 9 - line 48 page 7, line 2 - line 7</p> <p>---</p> <p style="text-align: right;">-/-</p>	1-20
X		1-20

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Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

Int'l Application No
PCT/GB 00/01883

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 479 447 A (CIOFFI JOHN M ET AL) 26 December 1995 (1995-12-26) column 11, line 10 -column 12, line 43 ----	1-20
X	WO 99 16224 A (ERICSSON TELEFON AB L M) 1 April 1999 (1999-04-01)  abstract page 1, line 19 - line 24 page 5, line 19 -page 6, line 2 page 7, line 22 -page 9, line 17 page 11, line 5 -page 17, line 4 page 19, line 20 -page 20, line 2 page 22, line 6 - line 13 ----	1-9, 11-17, 19,20
P,X	KELLER T ET AL: "Sub-band adaptive pre-equalised OFDM transmission" IEEE VTS 50TH VEHICULAR TECHNOLOGY CONFERENCE, vol. 1, 19 - 22 September 1999, pages 334-338, XP002144719 Piscataway, NJ, USA ISBN: 0-7803-5435-4 page 334 page 337 - page 338; Section 2.5 ----	1-20
P,X	L. HANZO, C.H. WONG, P. CHERRIMAN: "Burst-by-burst Adaptive Wideband Wireless Video Telephony" INTERNET ARTICLE, 'Online' 13 April 2000 (2000-04-13), XP002144720 Retrieved from the Internet: <URL: <a href="http://www-mobile.ecs.soton.ac.uk/hanzo/papers/invited-signal-proc-web.html">http://www-mobile.ecs.soton.ac.uk/hanzo/papers/invited-signal-proc-web.html</a> > 'retrieved on 2000-08-10! page 7, right-hand column, paragraph 3 - paragraph 4 page 8, left-hand column, line 8 - line 24 page 8, right-hand column, paragraph 1 - paragraph 2 page 9, left-hand column, paragraph 1 -right-hand column, line 1; figure 6 -----	1-20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Inte	.onal Application No
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